Younes Rudolph-Riad
Form 4
September 29, 2009

## FORM 4 <br> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 <br> Check this box if no longer subject to Section 16.

 Form 4 or Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940 1(b).
(Print or Type Responses)
$\left.\begin{array}{l}\text { 1. Name and Address of Reporting Person * } \\ \text { Younes Rudolph-Riad }\end{array} \begin{array}{l}\text { 2. Issuer Name and Ticker or Trading } \\ \text { Symbol } \\ \text { (Last) } \quad \text { (First) } \quad \text { (Middle) } \\ \text { Artio Global Investors Inc. [ART] } \\ \text { C/O ARTIO GLOBAL INVESTORS }\end{array} \begin{array}{l}\text { 3. Date of Earliest Transaction } \\ \text { (Month/Day/Year) } \\ \text { 09/29/2009 }\end{array}\right\}$

> (Street)
4. If Amendment, Date Original

Filed(Month/Day/Year)
OMB APPROVAL
OMB
Number:
3235-0287
Expires: January 31,
Estimated average burden hours per response...
0.5
(Print or Type Responses)
5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

$\overline{\text { below) }}^{\text {X_- }}$| Director |
| :--- |
| Officer (give title |
| Head of International Equity |
| Other (specify |

6. Individual or Joint/Group Filing(Check
Applicable Line)

Applicable Line)
_X_Form filed by One Reporting Person _ Form filed by More than One Reporting Person
NEW YORK, NY 10017
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| (City) | (State) | (Zip) T | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. <br> Transa <br> Code <br> (Instr. <br> Code | 8) | 4. Securities Disposed of (D) (Instr. 3, 4 and <br> Amount | Acquir <br> D) <br> 5) <br> (A) or (D) | (A) or <br> Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) <br> (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Class A common stock | 09/29/2009 |  | M ${ }^{(1)}$ |  | 1,200,000 | A | (1) | 1,200,000 | D |  |
| Class A common stock | 09/29/2009 |  | D (2) |  | 1,200,000 | D | $\begin{aligned} & \$ \\ & 24.596 \end{aligned}$ | 0 | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
$\begin{array}{lr}\text { Persons who respond to the collection of } & \text { SEC 1474 } \\ \text { information contained in this form are not } & (9-02) \\ \text { required to respond unless the form } \\ \text { displays a currently valid OMB control } \\ \text { number. }\end{array}$

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)


## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other

Younes Rudolph-Riad<br>C/O ARTIO GLOBAL INVESTORS INC.<br>330 MADISON AVENUE<br>NEW YORK, NY 10017

## Signatures

/s/ Rudolph-Riad
Younes 09/29/2009
${ }_{-}^{* *}$ Signature of Reporting
Date
Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
Under the Exchange Agreement (see defined below), Mr. Younes exchanged 1,200,000 of his New Class A Units (see defined below) for $1,200,000$ shares of Class A common stock on September 29, 2009 (the "Initial Exchange"). In connection with the consummation of the Initial Exchange, on September 29, 2009, Mr. Younes transferred 1,200,000 New Class A Units and 1,200,000 shares of Class B common stock to the Issuer in exchange for $1,200,000$ shares of the Issuer's Class A common stock.
(2) On September 29, 2009, the Issuer repurchased 1,200,000 shares of its Class A common stock from Mr. Younes.

In addition to the Initial Exchange, pursuant to the Exchange Agreement, Mr. Younes will be permitted to exchange additional New Class A Units at any time. Any Exchange (see defined below) will generally be a taxable event for Mr. Younes. As a result, at any time following the expiration of the underwriters' lock-up (180 days after September 23, 2009, subject to extension), Mr. Younes will be (3) permitted to sell shares of Class A common stock in connection with any Exchange in an amount necessary to generate proceeds (after deducting discounts and commissions) sufficient to cover the taxes payable on such Exchange (the amount of shares permitted to be sold determined based upon the stock price on the date of Exchange, whether or not such shares are sold then or thereafter).

In addition, Mr. Younes will be permitted to sell up to $20 \%$ of the remaining shares of Class A common stock that he owns (calculated
(4) assuming all New Class A Units have been Exchanged by him) on or after the first anniversary of September 23, 2009, and an additional $20 \%$ of such remaining shares of Class A common stock on or after each of the next four anniversaries.
(5) Held by the Rudolph-Riad Younes Family Trust, a grantor retained annuity trust for which Mr. Younes serves as settlor and trustee.

## Remarks:

The Issuer entered into an exchange agreement (the "Exchange Agreement") with Mr. Younes on September 29, 2009. Pursua the Exchange Agreement, Mr. Younes (and certain of his permitted transferees, including the Rudolph-Riad Younes Family Trust) are permitted to exchange their new class A units (the "New Class A Units") in Artio Global Holdings LLC for shares of the Issuer's Class A common stock on a one-for-one basis (each such exchange, an "Exchange"), subject to customary conversion rate adjustments for stock splits, stock dividends and reclassifications and other similar transactions. The New Class A Units represent membership interests in Artio Global Holdings LLC, a subsidiary of the Issuer. In connection with each Exchange, Mr. Younes must deliver to the Issuer, for cancellation, a number of shares of Class B common stock equal to the number of New Class A Units being Exchanged.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

