Artio Global Investors Inc.

Form 3

September 23, 2009

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Julius Baer Holding Ltd.

C/O ARTIO GLOBAL

**INVESTORS INC., Â 330** MADISON AVENUE

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

09/23/2009

Artio Global Investors Inc. [ART]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director Officer

\_X\_ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

NEW YORK. NYÂ 10017

(City) (State)

(Street)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**Expiration Date** 

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

Price of

5. Ownership Form of

Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** Date Exercisable Date

Number of

Derivative Security: Security Direct (D)

1

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and (Month/Day/Year)

Amount or

#### Edgar Filing: Artio Global Investors Inc. - Form 3

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Julius Baer Holding Ltd.

C/O ARTIO GLOBAL INVESTORS INC.
330 MADISON AVENUE

NEW YORK, NYÂ 10017

# **Signatures**

/s/ Adam Spilka, agent and proxy, and Hendricus Bocxe, agent and proxy, acting together as proxy for Julius Baer Holding Ltd. pursuant to a proxy dated August 13, 2009 and filed herewith as Exhibit 24

09/23/2009

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Issuer plans to amend its Certificate of Incorporation to provide that its Class C common stock is convertible into Class A common stock of the Issuer. Under the terms of the Issuer's Amended and Restated Certificate of Incorporation, if Julius Baer Holding Ltd.

(1) transfers any shares of Class C common stock to anyone other than any of its subsidiaries or the Issuer, such shares will automatically convert into shares of Class A common stock on a one-for-one basis. In addition, on September 29, 2011, any outstanding shares of Class C common stock will automatically convert into shares of Class A common stock on a one-for-one basis.

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#### **Remarks:**

Exhibit List

### Exhibit 24 - Proxy of Julius Baer Holding Ltd. dated August 13, 2009

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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