Tripp Ann Kirkpatrick Form 3 April 27, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HANOVER INSURANCE GROUP, INC. [THG] A Tripp Ann Kirkpatrick (Month/Day/Year) 04/24/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE HANOVER (Check all applicable) INSURANCE GROUP, INC., 440 LINCOLN STREET 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SVP & Chief Investment Officer \_X\_ Form filed by One Reporting Person WORCESTER, MAÂ 01653 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 15,966.008 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Common Stock Option (right to buy)	(2)	02/26/2023	Common Stock	2,117	\$ 42.49	D	Â
Common Stock Option (right to buy)	(3)	02/19/2024	Common Stock	5,000	\$ 57.99	D	Â
Common Stock Option (right to buy)	(4)	02/27/2025	Common Stock	5,000	\$ 70.24	D	Â
Common Stock Option (right to buy)	(5)	02/23/2026	Common Stock	4,550	\$ 82.74	D	Â
Common Stock Option (right to buy)	(6)	02/24/2027	Common Stock	4,290	\$ 91.19	D	Â
Common Stock Option (right to buy)	(7)	02/27/2028	Common Stock	3,480	\$ 110.57	D	Â

# **Reporting Owners**

Reporting Owner Name / Address			Relationships	
	Director	10% Owner	Officer	Other

Tripp Ann Kirkpatrick C/O THE HANOVER INSURANCE GROUP, INC. 440 LINCOLN STREET WORCESTER, MAÂ 01653

Â SVP & Chief Investment Officer Â

Relationshin

**Signatures** 

/s/ Ann K. Tripp 04/27/2018

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 10,764 shares held by the Reporting Person and (i) 1,810 restricted stock units ("RSUs") that vest on 2/23/19; (ii) 1,855 RSUs that vest on 2/24/20; and (iii) 1,537.008 RSUs that vest on 2/27/21. In each case the Reporting Person must be continuously employed by
- the Issuer through the applicable vesting date for the RSUs to vest. Upon vesting, the RSUs convert into an equivalent number of shares of common stock.
- (2) The option, originally for a total of 6,350 shares, vested 1/3 on each of 2/26/14, 2/26/15 and 2/26/16.
- (3) The option vested 1/3 on each of 2/19/15, 2/19/16 and 2/19/17.
- (4) The option vested 1/3 on each of 2/27/16, 2/27/17 and 2/27/18.
- (5) The option vested 1/3 on each of 2/23/17 and 2/23/18, and the remaining 1/3 will vest on 2/23/19.
- (6) The option vested 1/3 on 2/24/18, and will vest 1/3 on each of 2/24/19 and 2/24/20.
- (7) The option will vest 1/3 on each of 2/27/19, 2/27/20 and 2/27/21.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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