#### MAPES CHRISTOPHER L

Form 4

February 14, 2011

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*\*
MAPES CHRISTOPHER L

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**Executive Vice President** 

188001

(Last)

(City)

(First)

(Middle)

(Zip)

SMITH A O CORP [AOS]
3. Date of Earliest Transaction

(Month/Day/Year)

02/11/2011

\_\_\_\_ Director
\_\_X\_ Officer (give title below)

\_\_\_\_\_ 10% Owner \_\_\_\_\_ Other (specify

A. O. SMITH ELECTRICAL PRODUCTS COMPANY, 531 NORTH 4TH STREET

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

TIPP	CITY,	OH 453	71-1899
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(State)

	14	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficiciany Owned							
tle of 2. Transaction (Month/Day/	Date 2A. Deemed Fear) Execution Date, if	3. Transacti	4. Secur omr Dispo		cquired (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect	
tr. 3)	any	Code	(Instr. 3,	4 and	5)	Beneficially	Form:	Beneficial	
	(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership	
	, ,	· · ·				Following	or Indirect	(Instr. 4)	
						Reported	(I)		
						Transaction(s)	(Instr. 4)		
					<b>.</b> .	(Instr. 3 and 4)	· ·		
		Code V	7 IIIIO GIII	(D)		·			
nmon 02/11/2011		М	7,050	٨	\$	40.000	D		
ck 02/11/2011		1V1	(1)	Α	42.2197	40,990	ט		
nmon 02/11/2011		C	3,096	D	\$	27 204	D		
ck 02/11/2011		S	(2)	ע	42.2197	37,094	D		
02/11/2011  mmon 02/11/2011		Code V M S	7,050 (1) 3,096	(A) or (D) A	Price \$ 42.2197 \$ 42.2197	Reported Transaction(s)	(I)	(Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	erivative Expiration Date urities (Month/Day/Year) uired or cosed of err. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	02/11/2011		M	7,050	<u>(1)</u>	<u>(1)</u>	Common Stock	7,050	\$

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MAPES CHRISTOPHER L A. O. SMITH ELECTRICAL PRODUCTS COMPANY 531 NORTH 4TH STREET TIPP CITY, OH 45371-1899

**Executive Vice President** 

### **Signatures**

James F. Stern, Attorney-in-Fact for Christopher L. Mapes

02/14/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 7,050 Restricted Stock Units were granted on 02/11/2008, under the A. O. Smith Combined Incentive Compensation Plan, a transaction
   excempt under Rule 16b-3. 7,050 Restricted Stock Units vested on 02/11/2011. As of result of vesting, the Company is obligated to deliver 7,050 shares of Common Stock to the reporting person.
- (2) The reporting person sold these shares to obtain funds to pay the withholding taxes due as a result of the vesting of the restricted stock units described in footnote (1).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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