

Edgar Filing: FREDERICK WILLIAM C MD - Form 5

FREDERICK WILLIAM C MD

Form 5

February 14, 2003

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/ OMB APPROVAL /  
/-----/  
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| FORM 5 |  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

☐ Check box if  
no longer subject to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b).

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

(Print or Type Responses)

1. Name and Address of Reporting Person\*

Frederick	William	C.
-----	-----	-----
(Last)	(First)	(Middle)
615 Merrick Avenue		
-----		
(Street)		
Westbury	NY	11590
-----	-----	-----
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol New York Community Bancorp, Inc.  
-----  
(NYB)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)  
-----

4. Statement for Month/Year December 2002  
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5. If Amendment, Date of Original (Month/Year) -----

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

X Director \_\_\_\_ Officer \_\_\_\_ 10% Owner \_\_\_\_ Other

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----- (give title below) (specify below)

7. Individual or Joint/Group Filing  
(Check Applicable Line)

☒ Form filed by One Reporting Person  
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☐ Form filed by More than One Reporting Person

TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFIC

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount, Number or Principal of Securities Beneficially Owned (Instr. 3)
			Code	Amount (A) or (D)	Price

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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(Over)  
SEC 2270 (9-02)

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FORM 5 (continued)

TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Amount, Number or Principal of Securities Beneficially Owned (Instr. 3)
--------------------------------------------	--------------------------------------------------------	--------------------------------------	--------------------------------	----------------------------------------------------------------------------

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Option to Purchase \$9.31  
Common Stock (1)  
-----

Option to Purchase \$11.81  
Common Stock (1)  
-----

Option to Purchase \$24.61 7/24/02 A  
Common Stock (2)  
-----

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TABLE II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)  
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6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Deriv- ative Secur- ity (Instr. 5)	9. Number of Deriv- ative Secur- ities Bene- ficially Owned At End of Year (Instr. 4)	10. Owner- ship of De- rivative Security: Direct (D) or Indirect (I) (Instr. 4)
Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares	
7/31/01	10/20/08	Common Stock	202,140	202,140 D
7/31/01	2/17/10	Common Stock	18,360	18,360 D
7/24/03	7/24/12	Common Stock	25,500	25,500 D

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Explanation of Responses:

- (1) In connection with the merger of Richmond County Financial Corp. ("Richmond County") with an Bancorp, Inc. ("NYCB") on July 31, 2001, each option to purchase 1 share of Richmond County reporting person was converted to an option to purchase 1.02 shares of NYCB common stock. The for each converted NYCB option was determined by dividing the exercise price of such option such quotient to be rounded to the nearest whole cent.
- (2) Options granted under the NYCB 1997 Stock Option Plan that vest in three equal annual instal 24, 2003.

/s/ Ilene A. Angarola

February 14, 2003

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\*\*Signature of Reporting Person

-----  
Date

By: Ilene A. Angarola, Power of Attorney  
For: William C. Frederick, M.D.

\*\* Intentional misstatements or omissions of facts constitute

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Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedure.

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