

Edgar Filing: GAIAM INC - Form SC 13G/A

GAIAM INC
Form SC 13G/A
February 06, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO
RULES 13d-1(b), (c), and (d) AND AMENDMENTS THERETO FILED PURSUANT TO
RULE 13d-2(b)

(Amendment No. 1)

GAIAM, INC.

(Name of Issuer)

Class A Common Stock, \$.0001 par value

(Title of Class of Securities)

36268Q103

(CUSIP Number)

December 31, 2003

(Date of Event Which Requires Filing of This Statement)

Check the appropriate box to designate the rule pursuant to
which this Schedule is filed:

- ☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a
reporting person's initial filing on this form with respect to the subject
class of securities, and for any subsequent amendment containing information
which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall
not be deemed to be "filed" for the purpose of Section 18 of the Securities
Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of
that section of the Act but shall be subject to all other provisions of the
Act (however, see the Notes).

CUSIP 36268Q103

SCHEDULE 13G

Page 2 of 5 Pages

1 Name of Reporting Person WENTWORTH, HAUSER & VIOLICH, INC.*

Edgar Filing: GAIAM INC - Form SC 13G/A

IRS Identification No. of Above Person

2	Check the Appropriate Box if a member of a Group	(a)	[]
		(b)	[]
3	SEC USE ONLY		
4	Citizenship or Place of Organization	Washington, United States	
	NUMBER OF	5	Sole Voting Power
	SHARES		0
	BENEFICIALLY	6	Shared Voting Power
	OWNED BY EACH		691,341
	REPORTING	7	Sole Dispositive Power
	PERSON WITH		0
		8	Shared Dispositive Power
			691,341
9	Aggregate Amount Beneficially Owned by Each Reporting Person		691,341
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares		[]
11	Percent of Class Represented by Amount in Row 9		7.5%
12	Type of Reporting Person		IA

*This Schedule 13G Amendment No. 1 to the Schedule 13G filed with the SEC via EDGAR on February 13, 2003 by Wentworth, Hauser & Violich, Inc. and Laird Norton Financial Group, Inc. (the "Initial Schedule 13G") is filed only as to Wentworth, Hauser & Violich, Inc., and does not amend any of the information in the Initial Schedule 13G regarding Laird Norton Financial Group, Inc.

CUSIP 36268Q103

SCHEDULE 13G

Page 3 of 5 Pages

Item 1(a). Name of Issuer.

Gaiam, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices.

360 Interlocken Boulevard
Broomfield, CO 80021

Item 2(a). Name of Person Filing.

Wentworth, Hauser & Violich, Inc. ("Wentworth")

Item 2(b). Address of Principal Business Office or, if none, Residence.

353 Sacramento Street, Suite 600
San Francisco, CA 94111

Edgar Filing: GAIAM INC - Form SC 13G/A

Item 2(c). Citizenship.

Washington, United States

Item 2(d). Title of Class of Securities.

Class A Common Stock, \$.0001 par value

Item 2(e). CUSIP Number.

36268Q103

Item 3. Type of Reporting Person.

If this statement is filed pursuant to Rule 13d-1(b) or Rule 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or dealer registered under section 15 of the Exchange Act.
- (b) ☐ Bank as defined in section 3(a)(6) of the Exchange Act.
- (c) ☐ Insurance company as defined in section 3(a)(19) of the Exchange Act.

CUSIP 36268Q103

SCHEDULE 13G

Page 4 of 5 Pages

- (d) ☐ Investment company registered under section 8 of the Investment Company Act of 1940.
- (e) ☒ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) ☐ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;
- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940;
- (j) ☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

Reference is hereby made to Items 5-9 and 11 of page two (2) of this Schedule 13G, which Items are incorporated by reference herein.

Under the definition of "beneficial ownership" in Rule 13d-3

Edgar Filing: GAIAM INC - Form SC 13G/A

under the Securities Exchange Act of 1934, it is also possible that the individual directors, executive officers, and/or shareholders of Wentworth might be deemed the "beneficial owners" of some or all of the securities to which this Schedule relates in that they might be deemed to share the power to direct the voting or disposition of such securities. Neither the filing of this Schedule nor any of its contents shall be deemed to constitute an admission that any of such individuals is, for any purpose, the beneficial owner of any of the securities to which this Schedule relates, and such beneficial ownership is expressly disclaimed.

Item 5. Ownership of Five Percent or Less of a Class.

Not applicable.

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.

Wentworth is deemed to be the beneficial owner of the number of securities reflected in Items 5-9 and 11 of page two (2) of this

CUSIP 36268Q103

SCHEDULE 13G

Page 5 of 5 Pages

Schedule 13G pursuant to separate arrangements whereby Wentworth acts as investment adviser to certain persons. Each person for whom the reporting person acts as investment adviser has the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the Common Stock purchased or held pursuant to such arrangements.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Signature

Edgar Filing: GAIAM INC - Form SC 13G/A

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

WENTWORTH, HAUSER & VIOLICH, INC.

By:/s/ Bradford Hall

Bradford Hall, Chief Compliance Officer

DATED: February 5, 2004