ANALOGIC CORP Form 3 March 16, 2017

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

West Brooks

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

03/13/2017

ANALOGIC CORP [ALOG]

(Check all applicable)

(give title below) (specify below)

See Remarks

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ANALOGIC CORPORATION, Â8 **CENTENNIAL DRIVE** 

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PEABODY. MAÂ 01960

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form:

Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

or Exercise Price of Derivative

Security

Conversion

4.

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

**Expiration Title** Exercisable Date

Amount or Number of

Security: Direct (D)

1

## Edgar Filing: ANALOGIC CORP - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(2)	Common Stock	1,195	\$ 0	D	Â
Restricted Stock Units	(1)	(2)	Common	594	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
• 8	Director	10% Owner	Officer	Other	
West Brooks					
C/O ANALOGIC CORPORATION	â	â	See Remarks	â	
8 CENTENNIAL DRIVE	А	А	A See Remarks	А	
PEABODY, MA 01960					

## **Signatures**

By: John J. Fry by Power of Attorney For: Brooks West 03/16/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The number of Restricted Stock Units ("RSUs") represents the target number of RSUs that may be earned based on the achievement of certain performance criteria. The actual number of RSUs awarded will vary depending on the Company's performance. The performance

- (1) period will end on July 31, 2019. Thereafter, a determination will be made as to whether and to what extent the performance criteria has been met. If such criteria has been met, the RSUs earned will be converted to common stock and a like number of shares will be issued to the grantee.
- (2) The time-based RSUs will cliff vest on July 31, 2019. On that date, the RSUs will be converted to common stock and a like number of shares will be issued to the grantee.

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#### **Remarks:**

## Sr VP & General Manager, Ultrasound Business

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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