#### Edgar Filing: ARMSTRONG WORLD INDUSTRIES INC - Form 3/A

#### ARMSTRONG WORLD INDUSTRIES INC

Form 3/A April 13, 2016

### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ARMSTRONG WORLD INDUSTRIES INC [AWI] A MacNeal Brian L (Month/Day/Year) 03/30/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O ARMSTRONG WORLD 04/11/2016 (Check all applicable) INDUSTRIES, INC., 2500 COLUMBIA AVENUE, P.O. 10% Owner Director BOX 3001 \_X\_\_ Officer \_Other (give title below) (specify below) (Street) 6. Individual or Joint/Group **CFO** Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person LANCASTER, PAÂ 17603 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 594 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 6. Nature of Indirect 2. Date Exercisable and Beneficial Ownership (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units (1)	(2)	(2)	Common Stock	1,714	\$ <u>(1)</u>	D	Â
Stock Options	(3)	06/24/2024	Common Stock	3,275	\$ 57.06	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
MacNeal Brian L					
C/O ARMSTRONG WORLD INDUSTRIES, INC.	â	â	CFO	â	
2500 COLUMBIA AVENUE, P.O. BOX 3001	Α	Α	А СГО	A	
LANCASTER, PA 17603					

### **Signatures**

/s/ Bryan Y.M. Tham, Attorney-in-Fact

04/13/2016

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock under the Issuer's 2011 Long-Term Incentive Plan.
- The restricted stock units were granted to the Reporting Person on February 24, 2015 and will vest as follows: (1) 857 on the second (2) anniversary of the grant, and (2) 857 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2011 Long-Term Incentive Plan).
- The stock options were granted on June 24, 2014 and 1,091 have vested; the remaining unvested stock options will vest and become exercisable as follows: (1) 1,092 on the second anniversary of the grant, and (2) 1,092 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for under the Issuer's 2011 Long-Term Incentive Plan).

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### **Remarks:**

See Exhibit 24 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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