#### ASTEC INDUSTRIES INC

Form 4

November 19, 2004

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

may continue. *See* Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Addr<br>SMITH W NO | •          | ing Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|--------------------------------|------------|--------------|--|--|--|--|
|                                |            |              | ASTEC INDUSTRIES INC [ASTE]                        | (Check all applicable)   |  |  |
| (Last)                         | (First)    | (Middle)     | 3. Date of Earliest Transaction                    |  |  |  |
| 4101 JEROME AVENUE             |            |              | (Month/Day/Year)<br>11/17/2004                     | X Director 10% Owner Selection Other (specify below)  President, Astec, Inc.                         |  |  |
| (Street)                       |            |              | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check  |  |  |
| CHATTANOC                      | OGA, TN 37 | 7407         | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)     | (State)             | (Zip) Tal          | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                   |              |           |              |  |  |
|------------|---------------------|--------------------|--|-------------------|--------------|-----------|--------------|--|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.   | 4. Securities     | 5. Amount of | 6.        | 7. Nature of |  |  |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio   | onAcquired (A) or | Securities   | Ownership | Indirect     |  |  |
| (Instr. 3) |                     | any                | Code   | Disposed of (D)   | Beneficially | Form:     | Beneficial   |  |  |

| Security (Instr. 3) | (Month/Day/Year) | Execution Date, if<br>any<br>(Month/Day/Year) | Transaction<br>Code<br>(Instr. 8) | onAcquired<br>Disposed<br>(Instr. 3, | of (D | )    | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------|------------------|---|-----------------------------------|--------------------------------------|-------|------|--|--|---|
| common<br>stock     | 11/17/2004       |   | G(1)                              | 1,320                                | D D   | \$ 0 | 141,520  | I  | Norman Smith<br>Revocable<br>Living Trust         |
| common<br>stock     | 11/17/2004       |   | G(2)                              | 1,320                                | D     | \$ 0 | 140,200  | I  | Norman Smith<br>Revocable<br>Living Trust         |
| common<br>stock     | 11/17/2004       |   | G(2)                              | 1,320                                | A     | \$ 0 | 71,320   | I  | Merle Smith<br>Revocable<br>Living Trust          |
| common<br>stock     | 11/17/2004       |   | G <u>(1)</u>                      | 1,320                                | D     | \$0  | 70,000   | I  | Merle Smith<br>Revocable                          |

 $\begin{array}{c} & \text{Living Trust} \\ \text{common} \\ \text{stock} \\ \end{array} \\ & \begin{array}{c} \text{Supplemental} \\ \text{Executive} \\ \text{Retirement} \\ \text{Plan} \\ \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. onNumber of Derivative | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate        | 7. Titl<br>Amou<br>Under<br>Securi | int of<br>rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene |
|---|---|--------------------------------------|---|---------------------------------------|---------------------------|--|------------|------------------------------------|------------------|--|---------------------------------|
| ,   | Derivative                                  |                                      | ,   | ,                                     | Securities                | 3  |            | (Instr.                            | 3 and 4)         |  | Own                             |
|   | Security                                    |                                      |   |                                       | Acquired                  |  |            |                                    |                  |  | Follo                           |
|   |   |                                      |   |                                       | (A) or                    |  |            |                                    |                  |  | Repo                            |
|   |   |                                      |   |                                       | Disposed                  |  |            |                                    |                  |  | Trans                           |
|   |   |                                      |   |                                       | of (D)                    |  |            |                                    |                  |  | (Instr                          |
|   |   |                                      |   |                                       | (Instr. 3,                |  |            |                                    |                  |  |                                 |
|   |   |                                      |   |                                       | 4, and 5)                 |  |            |                                    |                  |  |                                 |
|   |   |                                      |   |                                       |                           |  |            |                                    | Amount           |  |                                 |
|   |   |                                      |   |                                       |                           | Date   | Expiration |                                    | or               |  |                                 |
|   |   |                                      |   |                                       |                           | Exercisable                                  | Date       | Title                              | Number           |  |                                 |
|   |   |                                      |   | Code V                                | (A) (D)                   |  |            |                                    | of<br>Shares     |  |                                 |

### **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |                        |       |  |  |  |
|---|---------------|-----------|------------------------|-------|--|--|--|
| reporting owner runner runners                                | Director      | 10% Owner | Officer                | Other |  |  |  |
| SMITH W NORMAN<br>4101 JEROME AVENUE<br>CHATTANOOGA, TN 37407 | X             |           | President, Astec, Inc. |       |  |  |  |

#### **Signatures**

Kimberly Greene, atty in fact for W. Norman
Smith

11/19/2004

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares gifted to adult children of W. Norman and Merle Smith

Reporting Owners 2

#### Edgar Filing: ASTEC INDUSTRIES INC - Form 4

(2) Shares gifted to Merle Smith (wife) Revocable Living Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.