Edgar Filing: BEAR STEARNS COMPANIES INC - Form 4

BEAR STEARNS COMPANIES INC

Form 4 June 02, 2008

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person *

GLICKMAN CARL D

(First) (Middle)

1140 LEADER BUILDING, 526

SUPERIOR AVENUE EAST

(Zip)

2. Transaction Date 2A. Deemed

(Street)

(State)

CLEVELAND, OH 44114

2. Issuer Name and Ticker or Trading

Symbol

BEAR STEARNS COMPANIES INC [BSC]

3. Date of Earliest Transaction (Month/Day/Year)

05/30/2008

4. If Amendment, Date Original

Filed(Month/Day/Year)

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

> Code (Month/Day/Year) (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

(A)

or Code V Amount (D) Price **OMB APPROVAL**

OMB Number:

January 31, Expires: 2005

Estimated average burden hours per

response...

0.5

3235-0287

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

X_ Director 10% Owner

Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned (I) Ownership Following (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. 1. Title of Derivative Security Conversion

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

5. Number

6. Date Exercisable and

7. Title and Amou Underlying Securi

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(Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	(A) o Dispo	or osed of : 3, 4,	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Shar
Non-Emp. Dir. Restr. Stock Units	<u>(1)</u>	05/30/2008		D		517	09/30/2004	09/30/2004	Common Stock	5
non-emp. dir. restr. stock units	<u>(1)</u>	05/30/2008		D		701	10/07/2005	10/07/2005	Common Stock	70
Non-Emp. Dir. Restricted Stock Units	<u>(1)</u>	05/30/2008		D		483	10/11/2006	10/11/2006	Common Stock	4
non-emp. dir. restricted stock units	<u>(1)</u>	05/30/2008		D		506	10/18/2007	10/18/2007	Common Stock	50
non-emp. dir. stock options (rt to buy)	\$ 157.02	05/30/2008		D		1,200	10/18/2007	04/18/2017	Common Stock	1,2
Non-Emp. Dir. Stock Options (Rt. to Buy)	\$ 87.68	05/30/2008		D		1,492	09/30/2004	03/31/2014	Common Stock	1,4
non-emp. dir. stock options (rt. to buy)	\$ 99.07	05/30/2008		D		2,043	10/07/2005	04/07/2015	Common Stock	2,0
Non-Emp. Dir. Stock Options (Rt. to Buy)	\$ 142.37	05/30/2008		D		1,422	10/11/2006	04/11/2016	Common Stock	1,4
Non-Employee Director Restricted Stock Units	(1)	05/30/2008		D		669	09/26/2003	09/26/2003	Common Stock	60
Non-Employee Director Stock Option	\$ 41.5	05/30/2008		D		3,000	03/29/2001	03/15/2010	Common Stock	3,0
Non-Employee Director Stock Option	\$ 45.74	05/30/2008		D		3,000	03/30/2001	03/30/2011	Common Stock	3,0

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Non-Employee Director Stock Option (right to buy)	\$ 62.15	05/30/2008	D	2,051	09/27/2002	03/27/2012	Common Stock	2,0
Non-Employee Director Stock Option (Right to Buy)	\$ 66.7	05/30/2008	D	1,911	09/26/2003	03/27/2013	Common Stock	1,9

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
GLICKMAN CARL D 1140 LEADER BUILDING 526 SUPERIOR AVENUE EAST CLEVELAND, OH 44114	X					

Signatures

/s/ Glickman, 06/02/2008 Carl D.

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This type of derivative security typically does not have a conversion or exercise price
- (2) The Restricted Stock Units were converted in the merger into 112 Restricted Stock Units of JPMorgan Chase.
- (3) The Restricted Stock Units were converted in the merger into 152 Restricted Stock Units of JPMorgan Chase.
- (4) The Restricted Stock Units were converted in the merger into 105 Restricted Stock Units of JPMorgan Chase.
- (5) The Restricted Stock Units were converted in the merger into 109 Restricted Stock Units of JPMorgan Chase.
- (6) This option was converted in the merger into an option to purchase 261 shares of JPMorgan Chase common stock for \$721.83 per share.
- (7) This option was converted in the merger into an option to purchase 324 shares of JPMorgan Chase common stock for \$403.07 per share.
- (8) This option was converted in the merger into an option to purchase 444 shares of JPMorgan Chase common stock for \$455.45 per share.
- (9) This option was converted in the merger into an option to purchase 309 shares of JPMorgan Chase common stock for \$654.48 per share.
- (10) The Restricted Stock Units were converted in the merger into 145 Restricted Stock Units of JPMorgan Chase.
- (11) This option was converted in the merger into an option to purchase 652 shares of JPMorgan Chase common stock for \$190.78 per share.
- (12) This option was converted in the merger into an option to purchase 652 shares of JPMorgan Chase common stock for \$210.27 per share.
- (13) This option was converted in the merger into an option to purchase 446 shares of JPMorgan Chase common stock for \$285.71 per share.
- (14) This option was converted in the merger into an option to purchase 415 shares of JPMorgan Chase common stock for \$306.61 per share. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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