Edgar Filing: SPURR JOHN H JR - Form 4

SPURR JO Form 4	HN H JR									
October 25	, 2018									
FORM	И 4		GEGU						OMB A	APPROVAL
	UNITED	STATES		RITIES ashingto				OMMISSION	OMB Number:	3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							e Act of 1934, 1935 or Section	January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type	Responses)									
1. Name and SPURR JC	Address of Reporting DHN H JR	g Person <u>*</u>	Symbol	PENDEN			-	5. Relationship of Issuer (Chect	Reporting Pe k all applicab	
	(First) PENDENT BAN 8 UNION STREI			of Earliest /Day/Year) /2018		n		X Director Officer (give below)		% Owner her (specify
ROCKLA	(Street) ND, MA 02370			nendment, Ionth/Day/Y	-	nal		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting I	Person
(City)	(State)	(Zip)	Ta	ble I - Nor	-Derivativ	e Sec	urities Acq	uired, Disposed of	, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			3. 4. Securities Acqui Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D)			(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/23/2018			А	88.75 (1)	A	\$ 78.2269	19,828.9057 (2)	D	
Common Stock								100,000	Ι	by Corporation (3)
Common Stock								11,798	Ι	by Trust (4)
Common Stock								4,830	I	by Elizabeth P. Spurr Trust (5)

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Common Stock	2,704	Ι	K. Spurr Trust <u>(6)</u>
Reminder: Report on a separate line for each class of securities beneficially owned directly	v or indirectly.		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. Mumber	6. Date Exer Expiration D		7. Title a Amount		8. Price of Derivative	9. Nu Deriv
Security	or Exercise	(any	Code	of	(Month/Day)		Underly		Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	,	Securitie	U	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								А	mount		
						Date	Expiration	01			
							Date		lumber		
					(A) (D)			0			
				Code V	(A) (D)			S	hares		

Reporting Owners

	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
SPURR JOHN H JR C/O INDEPENDENT BANK CORP. 288 UNION STREET ROCKLAND, MA 02370	Х							
Signatures								
/s/ Maureen Gaffney, Power of Attorne Spurr Jr.	10/25/20	18						

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired as a result of participation in the Independent Bank Corp. Directors Deferred Compensation Program.

(2) Holdings include 64.0909 shares acquired as a result of participation in the Independent Bank Corp. 2014 Dividend Reinvestment and Stock Purchase Plan since the last Form 4 filing (7/25/18). Such transactions are exempt from the reporting requirements of Section 16 of

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the Securities and Exchange Act of 1934, as amended.

Shares held i/n/o A. W. Perry Security Corporation. Filer is Vice Chairman of this Corporation. The filing of this statement should not be(3) construed as an admission that the undersigned is, for purposes of Section 16 of the Securities Exchange Act, the beneficial owner of such securities.

- (4) Shares held in name of John H. Spurr, Jr. Trust on which the Filer is a Trustee and Life Beneficiary.
- (5) Trust shares represented by: 2,415 shares held i/n/o Elizabeth P. Spurr 1972 Trust f/b/o Filer, who is co-trustee and income beneficiary of Trust; 2,415 shares held i/n/o Elizabeth P. Spurr 1972 Trust f/b/o Filer's sister. Filer is co-trustee of trust.
- Shares held i/n/o of Kay Spurr Trust, over which the Filer may be deemed to possess voting or investment control. The filing of this(6) statement should not be construed as an admission that the undersigned is, for purposes of the Exchange Act, the beneficial owner of such securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.