Edgar Filing: Sagehorn David M. - Form 4

Sagenorn D Form 4										
November 2	ЛЛ							OMB AP	PROVAL	
	UNITED	STATES SH	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287	
Check t if no lor subject Section Form 4 Form 5 obligati may cor <i>See</i> Inst 1(b).	nger to 16. or Filed pu ons ntinue.	EMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Dursuant to Section 16(a) of the Securities Exchange Act of 1934, 7(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							January 31, 2005 verage s per 0.5	
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Sagehorn David M.			2. Issuer Name and Ticker or Trading Symbol OSHKOSH CORP [OSK]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) C/O OSHK CORPORA STREET		(M 11	Date of Earliest ⁷ Ionth/Day/Year) /19/2018	Fransaction			Director _X Officer (give t elow)	10%	Owner	
OSHKOSH	Filed(Month/Day/Year) Applicable Line) _X_ Form filed by C				int/Group Filing(Check Ine Reporting Person Fore than One Reporting					
(City)	(State)	(Zip)	Table I - Non-	-Derivative Se	curitie		red, Disposed of,	or Beneficiall	v Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Da any (Month/Day/		1 , ,				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/20/2018		Code V M	Amount 2,413.844	(D) A	Price (<u>1)</u>	(Instr. 3 and 4) 149,877.922	D		
Common Stock	11/20/2018		F	1,135	D	\$ 65.29	148,742.922	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Options (2)	\$ 66.09	11/19/2018		A	(A) 15,500	(D)	(3)	11/19/2028	Common Stock
Restricted Stock Units	<u>(4)</u>	11/19/2018		А	9,400		(5)	(5)	Common Stock
Restricted Stock Units	<u>(1)</u>	11/20/2018		М		2,413.844	11/20/2018	(6)	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
r g · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
Sagehorn David M. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902			Exec. VP and CFO				

Signatures

David M. 11/21/2018 Sagehorn Date **Signature of

Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 11/19/2019.
- (4) Restricted Stock Unit Award granted pursuant to the Company's Stock Plan.
- Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/19/2019. (5)
- (6) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/20/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.