Case Scott Form 4 February 11, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

Check this box if no longer subject to

3235-0287 Number: January 31,

2005

OMB APPROVAL

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Case Scott

Symbol

SUNTRUST BANKS INC [STI]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

303 PEACHTREE STREET, NE

(Month/Day/Year) 02/08/2019

X_ Officer (give title Other (specify below) below)

Corp EVP & Chief Info Officer

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

ATLANTA, GA 30308

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise Code any

5. Number of **Transaction**Derivative Securities Acquired

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

Edgar Filing: Case Scott - Form 4

| (Instr. 3) | Price of Derivative Security | (Month/Day/Ye | ar) (Instr. 8) | (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | |
|-------------------------------|------------------------------------|---------------|----------------|---|---------------------|--------------------|-----------------|----------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Phantom Stock Units (1) | (1) | | | | 02/13/2019 | <u>(1)</u> | Common Stock | 1,325.0 |
| Phantom Stock Units (1) | (1) | | | | 02/13/2020 | <u>(1)</u> | Common Stock | 1,325.0 |
| Phantom Stock Units (1) | (1) | | | | 02/13/2021 | <u>(1)</u> | Common Stock | 1,325.0 |
| Phantom Stock Units (1) | <u>(2)</u> | 02/08/2019 | A | 2,199.0104 | 02/08/2020 | (2) | Common Stock | 2,199.0 |
| Phantom Stock Units (1) | (2) | 02/08/2019 | A | 2,199.0104 | 02/08/2021 | (2) | Common Stock | 2,199.0 |
| Phantom Stock Units (1) | (2) | 02/08/2019 | A | 2,199.0104 | 02/08/2022 | (2) | Common Stock | 2,199.0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|-------------------------------|-------|--|--|
| 1 6 | Director | 10% Owner | Officer | Other | | |
| Case Scott | | | | | | |
| 303 PEACHTREE STREET, NE | | | Corp EVP & Chief Info Officer | | | |

Signatures

ATLANTA, GA 30308

Curt Phillips, Attorney-in-Fact for 02/11/2019 **Scott Case** **Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- Represents time-vested stock units granted on February 13, 2018 under the SunTrust Banks, Inc. 2009 Stock Plan. The plan is exempt (1) under Rule 16b-3. Units will be settled in shares. The award agreement contains tax withholding features which allow us to withhold units to satisfy tax withholding obligations.
- (2) Represents time-vested stock units granted under the SunTrust Banks, Inc. 2018 Omnibus Incentive Compensation Plan. The plan is exempt under Rule 16b-3. Units will be settled in shares. The award agreement contains tax withholding features which allow us to

Reporting Owners 2

Edgar Filing: Case Scott - Form 4

withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.