Koebler Ellen Form 3 January 02, 2019

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SUNTRUST BANKS INC [STI] Koebler Ellen (Month/Day/Year) 01/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 303 PEACHTREE STREET, NE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person ATLANTA, GAÂ 30308 (give title below) (specify below) Form filed by More than One Chief Risk Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,986.855 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Phantom Stock (1)	11/14/2019	11/14/2019	Common Stock	4,265	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	11/14/2020	11/14/2020	Common Stock	4,264	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	11/14/2021	11/14/2021	Common Stock	4,263	\$ (1)	D	Â
Phantom Stock (1)	02/13/2019	02/13/2019	Common Stock	1,364.067	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	02/13/2020	02/13/2020	Common Stock	1,363.038	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	02/13/2021	02/13/2021	Common Stock	1,362.01	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	02/14/2019	02/14/2019	Common Stock	1,588.719	\$ <u>(1)</u>	D	Â
Phantom Stock (1)	02/14/2020	02/14/2020	Common Stock	1,588.718	\$ (1)	D	Â
Phantom Stock (1)	11/08/2019	11/08/2019	Common Stock	7,340.043	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Koebler Ellen	^	^	Chief	^		
303 PEACHTREE STREET, NE	Ã	Ä	Risk	Ä		
ATLANTA, GA 30308			Officer			

# **Signatures**

Curt Phillips, Attorney-in-Fact for Ellen C. Koebler

01/02/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan or 2018 Omnibus

  (1) Incentive Compensation Plan. These Plans are exempt under Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.

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#### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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