#### Edgar Filing: SUNTRUST BANKS INC - Form 3

#### SUNTRUST BANKS INC

Form 3 May 17, 2017

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SUNTRUST BANKS INC [STI] À Richards Robert Ryan (Month/Day/Year) 05/16/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 303 PEACHTREE STREET, NE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person ATLANTA, GAÂ 30308 (give title below) (specify below) Form filed by More than One Chief Acct Officer & Corp Cont Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,893.808 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities U	nderlying	Conversion	Ownership	Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Phantom Stock Units (1)	08/12/2014	08/12/2017	Common Stock	966.378	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/10/2015	02/10/2018	Common Stock	533.478	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/09/2016	02/10/2018	Common Stock	619.709	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/14/2017	02/10/2018	Common Stock	379.697	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/09/2016	02/10/2019	Common Stock	618.683	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/14/2017	02/10/2019	Common Stock	379.697	\$ <u>(1)</u>	D	Â
Phantom Stock Units (1)	02/14/2017	02/10/2020	Common Stock	379.693	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Richards Robert Ryan 303 PEACHTREE STREET, NE ATLANTA. GA 30308	Â	Â	Chief Acct Officer & Corp Cont	Â		

### **Signatures**

David Wisniewski, Attorney-ain-Fact for Robert Ryan Richards 05/17/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents time-vested restricted stock units granted under the 2009 Stock Plan. The Plan is exempt under Rule 16b-3. The restricted
  (1) stock unit award agreements contain tax withholding features which allow us to withhold units to satisfly withholding obligations. Units will be settled in shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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