Edgar Filing: Halmy Christopher A - Form 4

Halmy Christ Form 4	topher A									
October 24, 2								OMB AF	PROVAL	
FORM	UNITED	STATES SECUE Wa	RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check this if no long subject to Section 10	er STATEM	IENT OF CHAN	GES IN BENEFICIAL OWN SECURITIES				NERSHIP OF	Expires: Estimated a burden hou		
Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	Filed purs nue. Section 17(a	a) of the Public U	o Section 16(a) of the Securities Exchange Act of 1934, e Public Utility Holding Company Act of 1935 or Section h) of the Investment Company Act of 1940							
(Print or Type R	esponses)									
1. Name and A Halmy Chris	Symbol	2. Issuer Name and Ticker or Trading Symbol Ally Financial Inc. [ALLY]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N	•		-	IJ		(Checl	k all applicable)	
500 WOOD	(Month/I	3. Date of Earliest Transaction (Month/Day/Year) 10/20/2017				Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer				
	endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
DETROIT, N	MI 48226						Form filed by M Person	ore than One Re	porting	
(City)	(State) ((Zip) Tabl	le I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			Code (Instr. 3, 4 and 5)) (Instr. 8)				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock (1)	10/20/2017		М	242	А	\$0	200,186	D		
Common Stock	10/20/2017		D	242	D	\$ 24.35 (2)	199,944	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactiv Code (Instr. 8)	5. Number on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivativ Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units (DSU)	<u>(3)</u>	10/20/2017	М	242	(3)	(3)	Common Stock	242	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Halmy Christopher A 500 WOODWARD AVENUE DETROIT, MI 48226			Chief Financial Officer					
Signatures								

/s/ Donna M. DiCicco, attorney-in-fact for Mr. Halmy

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock reported includes shares issued upon the settlement of a portion of DSUs awarded in prior periods.
- (2) Represents the per share fair market value of the Company's common stock as of October 11, 2017.

Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal(3) to the fair market value of a share of the Company's common stock. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

10/24/2017

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.