Edgar Filing: FIFTH THIRD BANCORP - Form 4

FIFTH THIRD BANCORP

Form 4

November 15, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

11/10/2016

Stock

Stock

Common

Hazel Mark D			Symbol	Symbol				Issuer				
			FIFTH '	FIFTH THIRD BANCORP [FITB]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	f Earliest T	ransaction			(0.10)	on an approact	,		
38 FOUNTAIN SQUARE PLAZA			(Month/Day/Year)					Director 10% Owner				
			11/10/2016					X Officer (give title Other (specify below)				
								SVP and Controller				
	4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check						
	Filed(Mor	Filed(Month/Day/Year)					Applicable Line)					
								X Form filed by One Reporting Person				
CINCINNATI, OH 45263								Form filed by More than One Reporting Person				
(City)	(State)	(Zip)				~						
(City)	(State)	(Zip)	Tabl	Table I - Non-Derivative Securities Acq					quired, Disposed of, or Beneficially Owned			
1.Title of Security (Month/Day/Year) Executive (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year)			1				-	5. Amount of	6. Ownership			
		on Date, if	ate, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Form: Direct Indirect Beneficially (D) or Benefici Owned Indirect (I) Ownersl					
		/Day/Year)										
			,	(,				Following	(Instr. 4)	(Instr. 4)		
				(A)		Reported						
					or			Transaction(s) (Instr. 3 and 4)				
				Code V	Amount	(D)	Price	(Ilisti: 3 and 4)				
Common	11/10/2016			M	7,000	Α	\$ 3.96	41,974	D			
Stock				,		·	,					
Common	11/10/2016			D	1 160	D	\$	40,806	D			
Stock	11/10/2016			ע	1,168	D	23.74	40,800	D			
Common	11/10/2016			E	1 700	D	\$	30.007	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

1,799

39,007

12,839 (3)

23.74

D

Ι

by 401(k)

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6. Date Exercisable and

5. Number

SEC 1474 (9-02)

7. Title and Amou

number.

4.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D)	Expiration Date (Month/Day/Year)	(Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amor or Nur of Shar
Stock Appreciation	\$ 3.96	11/10/2016		M	7,000	04/21/2009(1)	04/21/2019	Common Stock	7,0

Reporting Owners

2.

Reporting Owner Name / Address Relationships

3. Transaction Date 3A. Deemed

Director 10% Owner Officer Other

Hazel Mark D

Right

1. Title of

38 FOUNTAIN SQUARE PLAZA CINCINNATI, OH 45263 SVP and Controller

Signatures

H. Samuel Lind, as Attorney-in-Fact for Mark D. Hazel

11/15/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Indicates grant date. Stock appreciation rights are exercisable as follows: 25% one year from grant date, 50% two years from grant date, 75% three years from grant date, and 100% four years from grant date.
- (2) Issued pursuant to Fifth Third Bancorp Incentive Compensation Plan. No consideration paid.
- (3) ncludes 261 shares acquired under Fifth Third's 401(k) plan since the date of the reporting person's last ownership report.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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