

CASTLE A M & CO  
Form 4  
September 28, 2005

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOOKS STEPHEN V

(Last) (First) (Middle)  
3400 NORTH WOLF ROAD  
(Street)

FRANKLIN PARK, IL 60131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CASTLE A M & CO [CAS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/26/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive VP & President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	09/26/2005	09/26/2005	M		8,333	A	\$ 10 27,293	D
Common Stock	09/26/2005	09/26/2005	M		16,667	A	\$ 10 43,960	D
Common Stock	09/26/2005	09/26/2005	M		15,152	A	\$ 11 59,112	D
Common Stock	09/26/2005	09/26/2005	M		9,848	A	\$ 11 68,960	D
Common Stock	09/27/2005	09/27/2005	S		31,819	D	\$ 17.1873 37,141	D

Edgar Filing: CASTLE A M & CO - Form 4

Common Stock 565.6706 I By PAYSOP Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 5.21					10/23/2004	10/23/2013	Common Stock	45,000
Stock Options (Right to buy)	\$ 6.39					10/24/2003	10/24/2012	Common Stock	28,000
Stock Options (Right to buy)	\$ 7.05					10/04/2003	10/04/2012	Common Stock	22,275
Stock Options (Right to buy)	\$ 10	09/26/2005	09/26/2005	M	8,333	07/27/2001	07/27/2010	Common Stock	8,333
Stock Options (Right to buy)	\$ 10	09/26/2005	09/26/2005	M	16,667	07/27/2001	07/27/2010	Common Stock	16,667
Stock Options (Right to buy)	\$ 11	09/26/2005	09/26/2005	M	15,152	07/26/2002	07/26/2011	Common Stock	15,152

Stock Options (Right to buy)	\$ 11	09/26/2005	09/26/2005	M	9,848	07/26/2002	07/26/2011	Common Stock	9,848
---------------------------------------	-------	------------	------------	---	-------	------------	------------	-----------------	-------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOOKS STEPHEN V 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131			Executive VP & President	

## Signatures

Stephen V  
Hooks

09/27/2005

Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.