Edgar Filing: BIO RAD LABORATORIES INC - Form 4

BIO RAD LABORATORIES INC Form 4 November 05, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHWARTZ NORMAN D Issuer Symbol **BIO RAD LABORATORIES INC** (Check all applicable) [BIO, BIOB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director X 10% Owner _ Other (specify _X__ Officer (give title (Month/Day/Year) below) below) C/O BIO-RAD LABORATORIES. 11/04/2015 Chairman, President, and CEO INC., 1000 ALFRED NOBEL DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting HERCULES, CA 94547 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of 7. Nature of 4. Securities Acquired 6. Indirect Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **Bio-Rad** A 411,252 D Common Stock **Bio-Rad B** 2.400 \$0 Common 11/04/2015 Μ Α 199.116 D (1)Stock **Bio-Rad B** F Common 11/04/2015 1.253 D 197.863 D 140.86

Stock

Edgar Filing: BIO RAD LABORATORIES INC - Form 4

Bio-Rad B Common Stock		·	-			1	12,486	I	By Spo	use	
Bio-Rad B Common Stock						2	4,060,054	I	By Blue Raven Partners L.P. (3)		
Bio-Rad B Common Stock						1	13,726	Ι	By DA Partners (4)		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not information contained in this form are not information contained to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) SEC 1474 (9-02)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4.	5. Numb orof Deriv Securitie	er ative ss l	6. Date Exercisable and Expiration Date (Month/Day/Year)7. Title and Amount of Underlying Securities (Instr. 3 and 4)			8. Pr Deriv Secu (Inst	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(5)</u>	11/04/2015		М	2,4	400	<u>(6)</u>	<u>(6)</u>	Bio-Rad B Common Stock	2,400	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHWARTZ NORMAN D C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547	х	Х	Chairman, President, and CEO				

Signatures

Norman D. Schwartz

**Sig

Report

11/05/2015

nature of	
ing Person	

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class B common stock acquired on the vesting of restricted stock units.
- (2) The reporting person disclaims beneficial ownership of these shares.
- (3) The shares are held by a limited partnership of which the reporting person is a limited and general partner. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (4) The shares are held by a limited partnership of which the reporting person is a limited partner.
- (5) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class B common stock.
- (6) The restricted stock units vest in five equal annual installments beginning November 4, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.