Edgar Filing: EQUINIX INC - Form 4

FOLINIX INC

February 22, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 The longer Subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 30(h) of the Investment Company Act of 1940 1(b). Print or Type Responses) 1. Name and Address of Reporting Person Lee Yau Tat Symbol EQUINX INC [EQIX] (Last) (First) (Middle) 3. Date of Earliest Transaction ONE LAGOON DRIVE 02/17/2017 5. Relationship of Reporting Person Filed(Month/Day/Year) City (State) (State) (State) (City) (Month/Day/Year) (City) (Month/Day/Year) (City) (Month/Day/Year) (City) (Month/Day/Year) (City) (Month/Day/Year) (City) (State) (City) (State) (City) (State) (City) (State) (City) (State) (City) (State) (City) (Month/Day/Year) (City)	Form 4													
Washington, D.C. 20549 Number: 3235-0287 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Sec Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Securities Exchange Act of 1935 or Section 10(h) Securities Exchange Act of 1935 or Section 10(h) Securities Exchange Act of 1935 or Section 10(h) Securities Exchange Act of 1930 1(b) Securities Exchange Act of 1930 1(c) Securiti		1	STATES	SECUR	ITIES	AN	ND EXO	CHAI	NGE (COMMISSION	r	PPROVAL		
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: 2005 Estimated average burden hours per response Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations sec Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations 0.5 I. Name and Address of Reporting Person ⁺ (Lee Yau Tat 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Lee Yau Tat Symbol Issuer (Last) (First) (Middle) (Last) (First) (Middle) (Last) (First) (Middle) (Street) 4. If Amendment, Date Original Filed/Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) (City) (State) (Zip) Table I - Non-Derivative Securities 5. Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date 2. Accentile so (Month/Day/Year) 3. 4. Securities (Street) 5. Anount of Security 6. Ownership Form Direct Indirect (Instr. 3) (Little of Security 2. Transaction Date 2. A. Deemed 3. 4. Securities (Street) 5. Anount of Security 6. Ownership Form Direct Indirect (Instr. 3) (Little											0	3235-0287		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person * Lee Yau Tat 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) ONE LAGOON DRIVE 02/17/2017 — Month/Day/Year) — Director Month/Day/Year) — Director Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction Date (A. Deemed any (Month/Day/Year) 3. A. Securities TransactionAcquired (A) or Code 5. Amount of Disposed of (D) Disposed of (D) Disposed of (D) Reported Transaction(S) (Instr. 4) 6. Ownership 7. Nature of Security (Instr. 4) (A) (Code V Amount (D) Price (Instr. 3) 0. Nonet 1. Indirect Indirect (I) Ownership Following	if no long subject to Section 16	er STATEM 5.	ox STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Estimated a burden hou	Expires: 2005 Estimated average burden hours per		
1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Lee Yau Tat Symbol EQUINIX INC [EQIX] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) ONE LAGOON DRIVE 02/17/2017	obligation may conti <i>See</i> Instru	$\frac{1}{nue.}$ Section 17(a	Section 17(a) of the Public Utility Holding Company Act of 1935 or Section											
Lee Yau Tat Symbol EQUINIX INC [EQIX] Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/17/2017	(Print or Type R	esponses)												
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Lee Yau Tat			Symbol					g	Issuer				
ONE LAGOON DRIVE 02/17/2017 Image: Construction of the constructi	(Last)	(First) (M	(liddle)						(Check all applicable)					
Filed(Month/Day/Year) Applicable Line) X_ Form filed by One Reporting Person REDWOOD CITY, CA 9405 Table I - Non-Derivative Securities Applicable Line) (City) (State) (Zip) Table I - Non-Derivative Securities Applicable Line) 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Indirect (Month/Day/Year) Code V Amount (D) Owned Indirect (I) Ownership Common 02/17/2017 M 2.004 A 0 8.661 D	ONE LAGO							X_Officer (give title Other (specify below)						
KEDWOOD CITT, CA 94003 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date (Month/Day/Year) 2A. Deemed 3. 4. Securities 5. Amount of Code 6. Ownership 7. Nature of Indirect Instr. 3) (Month/Day/Year) Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Code 6. Ownership 7. Nature of Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Form: Direct Indirect (I) Ownership (Instr. 4) (Month/Day/Year) Code V Amount (D) Price (Instr. 4) (Instr. 4) (A) or Code V Amount (D) Price (Instr. 4) (Instr. 4) (Code V Amount (D) Price V Non 4. V V	· · · · · · · · · · · · · · · · · · ·				-					Applicable Line)				
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. Worth/Day/Year) Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Securities 6. Ownership Form: Direct 7. Nature of Indirect 0. Worth/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 4) (A) or Code V Amount (D) Price (Instr. 4) (Instr. 4) (A) or Code V Amount (D) Price D	REDWOOD	CITY, CA 9406	5								More than One Re	eporting		
Security (Instr. 3) (Month/Day/Year) Execution Date, if any (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) (Instr. 4)	(City)	(State)	(Zip)	Table	e I - Non	1-De	rivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned		
$\frac{\text{Code V Amount (D) Price}}{M 2.004 \text{ A } \$.0.8661 \text{ D}}$	Security	curity (Month/Day/Year) Execution Date str. 3) any		on Date, if	if TransactionAcquired (A) or Code Disposed of (D) ar) (Instr. 8) (Instr. 3, 4 and 5) (A)))	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
	Common Stock	02/17/2017				V		. ,			D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: EQUINIX INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Transaction Date 3A. Deemed fonth/Day/Year) Execution Date, if any (Month/Day/Year)		5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	02/17/2017		А	4,008		<u>(1)</u>	(2)	Common Stock	4,008
Restricted Stock units	\$ 0	02/17/2017		М		2,004	<u>(1)</u>	(2)	Common Stock	2,004

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Lee Yau Tat ONE LAGOON DRIVE REDWOOD CITY, CA 94065			President - Asia Pacific					
Signatures								

Samantha 02/22/2017 Lagocki, POA

**Signature of Reporting Date Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On March 16, 2016, the reporting person was granted performance restricted stock units, the vesting of which was subject to both continued service and the attainment of certain AFFO, Revenue and EBITDA targets for 2016. The Compensation Committee certified

- (1) Continued service and the attainment of certain ATTO, Revende and EBTIDA targets for 2010. The Compensation Committee certified the degree to which the targets were achieved, therefore 50% of the award vested on February 17, 2017, 25% will vest on February 15, 2018 and the remaining 25% will on February 15, 2019, subject solely to continued service.
- (2) Restricted stock unit award expires upon reporting person's termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.