

Horizon Global Corp
Form 4
August 18, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Zeffiro A Mark

(Last) (First) (Middle)

39400 WOODWARD
AVENUE, SUITE 100

(Street)

BLOOMFIELD HILLS, MI 48304

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Horizon Global Corp [HZN]

3. Date of Earliest Transaction
(Month/Day/Year)

08/15/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/15/2015		A	84,473 A \$ 0	197,485 ⁽¹⁾	D	
Common Stock	08/15/2015		A	11,813 A \$ 0	209,298 ⁽²⁾	D	
Common Stock					15,091	I	See Footnote ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option Right to Purchase	\$ 11.02	08/15/2015		A	84,499	(4) 08/15/2025	Common Stock	84,499

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zeffiro A Mark 39400 WOODWARD AVENUE SUITE 100 BLOOMFIELD HILLS, MI 48304	X		President	

Signatures

/s/ Paula Reno,
Attorney-in-Fact

08/18/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 113,012 shares of common stock of the Issuer acquired in a pro rata distribution of Issuer common stock from TriMas Corporation ("TriMas") in connection with the spinoff of the Issuer from TriMas on June 30, 2015. Such amount of shares is estimated as of June 30, 2015 based on preliminary information regarding the spin-off. Final amounts, if different, will be reported in a subsequent filing.

(2) Includes 124,825 shares of common stock of the Issuer acquired in a pro rata distribution of Issuer common stock from TriMas in connection with the spinoff of the Issuer from TriMas on June 30, 2015. Such amount of shares is estimated as of June 30, 2015 based on preliminary information regarding the spin-off. Final amounts, if different, will be reported in a subsequent filing.

(3) Through the Aldo Mark Zeffiro Living Trust under the agreement dated October 8, 2010.

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(4) This option generally vests in three substantially equal installments on March 1, 2016, March 1, 2017 and March 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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