Edgar Filing: VICON INDUSTRIES INC /NY/ - Form 3

VICON INDUSTRIES INC /NY/ Form 3 September 03, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person <u>*</u> Messinger Gioia | | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol VICON INDUSTRIES INC /NY/ [VII] | | | | | |
|---|---|----------|---|---|--|--|---|--|--|
| (Last) | (First) | (Middle) | 08/29/2014 | 4. Relationship of Reporting Person(s) to Issuer | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | | |
| VICON INDUSTRIES, INC., 131 HEARTLAND BOULEVARD (Street) EDGEWOOD, NY 11717 | | | | (Check all applicable) Director 10% Owner Officer Other (give title below) (specify below) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One | | |
| (City) | (State) | (Zip) | Table I - I | Non-Derivative Securities Be | | | Reporting Person | | |
| 1.Title of Secu (Instr. 4) | rity | | 2. Amount o Beneficially (Instr. 4) | of Securities | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | re of Indirect Beneficial ship | | |
| Reminder: Rep owned directly | ach class of securities benefic pond to the collection of ained in this form are not ond unless the form displ MB control number. | t s | EC 1473 (7-02 | 2) | | | | | |
| | | | | | | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|------------------------|---|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | Derivative Security | Security: Direct (D) | |

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response ... 0.5

Shares

or Indirect (I) (Instr. 5)

Reporting Owners

| Reporting Owner Name / A | Relationships | | | | | |
|--|---------------|----------|-----------|---------|-------|--|
| | | Director | 10% Owner | Officer | Other | |
| Messinger Gioia VICON INDUSTRIES, IN 131 HEARTLAND BOUI EDGEWOOD, NY 11 | LEVARD | ÂX | Â | Â | Â | |
| Signatures | | | | | | |
| /s/ Gioia Messinger | 09/03/201 | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | |

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

No securities are beneficially owned. Â This Form 3 is being filed in connection with the appointm

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.