

Solomon Ricky D
Form 4
January 14, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Solomon Ricky D

(Last) (First) (Middle)

276 FIFTH AVENUE, SUITE 306

(Street)

NEW YORK, NY 10001

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ASPEN GROUP, INC. [ASPU]

3. Date of Earliest Transaction
(Month/Day/Year)
01/09/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/10/2019		M	6,771 A	\$ 1.86 116,421	D	
Common Stock	01/10/2019		M	8,334 A	\$ 2.4 124,755	D	
Common Stock	01/10/2019		M	8,334 A	\$ 2.4312 133,089	D	
Common Stock	01/10/2019		F	10,529 D	\$ 5.02 122,560	D	
Common Stock	01/10/2019		S	2,000 D	\$ 5.15 120,560	D	
	01/10/2019		M	87,719 A	\$ 2.28 208,279	D	

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Common Stock								
Common Stock	01/10/2019	M	21,930	A	\$ 2.28	230,209	D	
Common Stock	01/10/2019	F	48,467	D	\$ 5.158	181,742	D	
Common Stock	01/11/2019	S	2,400	D	\$ 5.0126	179,342	D	
Common Stock	01/09/2019	S	6,000	D	\$ 5.053	9,000	I	Held in IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options (Right to Buy) ⁽¹⁾	\$ 1.86	01/10/2019		M	6,771	09/04/2014 09/04/2019	Common Stock 6,771
Stock Options (Right to Buy) ⁽¹⁾	\$ 2.4	01/10/2019		M	8,334	09/16/2014 09/16/2019	Common Stock 8,334
Stock Options (Right to Buy) ⁽¹⁾	\$ 2.4312	01/10/2019		M	8,334	12/11/2014 12/11/2019	Common Stock 8,334
Warrants ⁽²⁾	\$ 2.28	01/10/2019		M	87,719	03/10/2014 03/10/2019	Common Stock 87,719
	\$ 2.28	01/10/2019		M	21,930	06/24/2014 06/24/2019	21,930

Warrants
(2)Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Solomon Ricky D 276 FIFTH AVENUE SUITE 306 NEW YORK, NY 10001	X

Signatures

/s/ Rick D.

Solomon

01/14/2019

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person cashlessly exercised the stock options which were expiring in 2019. The exercise of the stock options was exempt under Rule 16b-6 and the disposition of shares to the Issuer was pre-approved in advance by the Issuer's Board of Directors as required by Rule 16b-3.

The Reporting Person cashlessly exercised warrants which were expiring in 2019. The exercise of the warrants was exempt under Rule 16b-6 and the disposition of shares to the Issuer was pre-approved in advance by the Issuer's Board of Directors as required by Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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