

Thermon Group Holdings, Inc.  
 Form 4  
 November 13, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**ALEXANDER GEORGE P**

2. Issuer Name and Ticker or Trading Symbol  
**Thermon Group Holdings, Inc.  
 [THR]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**100 THERMON DRIVE**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/11/2013**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**EVP - Global Sales**

**SAN MARCOS, TX 78666**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 11/11/2013                           |  | S                              | 6,000 D   | \$ 26.13 (1) 50,769   | D  |   |
| Common Stock                    | 11/11/2013                           |  | S                              | 6,000 D   | \$ 26.13 (1) 42,252   | I  | by spouse   |
| Common Stock                    | 11/11/2013                           |  | S                              | 6,000 D   | \$ 26.14 (1) 42,252   | I  | by Self, as Trustee for the Bridget Alexander Trust   |

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|                 |            |  |   |       |   |                    |        |   |                                 |
|-----------------|------------|--|---|-------|---|--------------------|--------|---|---------------------------------|
| Common<br>Stock | 11/11/2013 |  | S | 6,000 | D | \$<br><u>26.14</u> | 54,981 | I | By George<br>Alexander<br>Trust |
|-----------------|------------|--|---|-------|---|--------------------|--------|---|---------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|---|
|   |  |   |   | Code                                 | V (A) (D)  | Date Exercisable<br>Expiration Date                            | Title<br>Amount<br>or<br>Number<br>of Share                         |
| Performance<br>Units                                | \$ 0   |   |   |                                      |  | <u>(2)</u> <u>(2)</u>  | Common<br>Stock 9,294<br><u>(2)</u>                                 |
| Restricted<br>Stock Units                           | \$ 0   |   |   |                                      |  | <u>(3)</u> <u>(3)</u>  | Common<br>Stock 9,294   |
| Performance<br>Units                                | \$ 0   |   |   |                                      |  | <u>(4)</u> <u>(4)</u>  | Common<br>Stock 13,688<br><u>(4)</u>                                |
| Restricted<br>Stock Units                           | \$ 0   |   |   |                                      |  | <u>(5)</u> <u>(5)</u>  | Common<br>Stock 13,688  |
| Stock Option<br>(Right to<br>Buy)                   | \$ 12  |   |   |                                      |  | 05/04/2014 <sup>(6)</sup> 05/04/2021                           | Common<br>Stock 6,000   |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |                    |       |
|---|---------------|-----------|--------------------|-------|
|   | Director      | 10% Owner | Officer            | Other |
| ALEXANDER GEORGE P<br>100 THERMON DRIVE<br>SAN MARCOS, TX 78666 |               |           | EVP - Global Sales |       |

## Signatures

/s/ George Alexander by Sarah Alexander as  
attorney-in-fact

11/13/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This represents the weighted average sale price. Reporting person will, upon request, supply the Issuer, the SEC Staff or a securityholder of the Issuer full information regarding the number of shares sold at each separate price.  

On August 2, 2012, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014 and March 31, 2015. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- (2) On August 2, 2012, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 2, 2014 and 2015.  

On August 1, 2013, the reporting person was granted a performance unit award, which vests in annual installments on March 31, 2014, 2015 and 2016. The number of shares reflected in this filing represents the Target Award. The actual number of shares that may vest depends on the Issuer's total shareholder return performance relative to its peer group and ranges from 0% below Threshold performance, 50% at Threshold performance, 100% at Target performance and 200% at Maximum performance.
- (3) On August 1, 2013, the reporting person was granted a restricted stock unit award that vests in equal annual installments on August 1, 2014, 2015 and 2016.
- (4) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.
- (5) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.
- (6) Options vest in equal annual installments on May 4, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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