Edgar Filing: Matthews Michael - Form 4

| Matthews Mi | chael | | | | | | | | | | |
|--|---------------------|---------------------|---------------------|---|---------|-----------|---|--|-----------------|--|--|
| Form 4 | | | | | | | | | | | |
| May 24, 2013 | 3 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | - | OMB APPROVAL | | | |
| Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check this box | | | | | | | | Expires: | January 31, | | |
| if no longer subject to STATEMENT OF CHAN | | | | | CIAI | L OW | NERSHIP OF | Estimated a | 2005 average | | |
| Section 16. | | | | SECURITIES | | | | burden hou | hours per | | |
| Form 4 or Form 5 Eiled pursuant to Section 16(a) of th | | | | a | - | | response | 0.5 | | | |
| obligation | | | | | | | e Act of 1934, | | | | |
| may conti | nue. Section 17(a | 30(h) of the Public | • | • | · · | | f 1935 or Sectio | n | | | |
| See Instru | ction | 50(II) of the | Investment | Company | Act | 01 192 | +0 | | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person *2. Issuer NMatthews MichaelSymbolCALIX, I | | | ier Name and | Ticker or T | Frading | 5 | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | | A I V)] | | | 100.001 | | | | |
| | | | | | | | (Check all applicable) | | | | |
| (Last) | (First) (M | , | of Earliest Tr | ansaction | | | | | | | |
| C/O CALIX, INC., 1035 N. (Month/Da | | | - | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | |
| MCDOWELL BLVD. | | | 2015 | | | | below) below) | | | | |
| (Street) 4. If Amer | | | | | | | (Individual on Init/C E'l' (C' i | | | | |
| | | | Ionth/Day/Year | - | | | 6. Individual or Joint/Group Filing(Check | | | | |
| PETALUMA, CA 94954 | | | |) | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| I LIMLOWI | 1, C/1)+))+ | | | | | | Person | | | | |
| (City) | (State) (Z | Zip) Ta | ble I - Non-D | Derivative S | ecurit | ties Acc | quired, Disposed of | f, or Beneficial | lly Owned | | |
| 1.Title of | 2. Transaction Date | | 3. | 3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5) | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Security (Instr. 3) | (Month/Day/Year) | Execution Date, any | | | | | | | | | |
| (1150.5) | | (Month/Day/Yea | | | | | Owned | | | | |
| | | | | | | Following | | | | | |
| | | | | | (A) | | Reported Transaction(s) | | | | |
| | | | | | or | D. | (Instr. 3 and 4) | | | | |
| Common | | | Code V | Amount 11,198 | (D) | Price | | | | | |
| Stock | 05/22/2013 | | А | (1) | А | \$0 | 29,884 <u>(2)</u> | D | | | |
| Stoon | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration D (Month/Day/ e | | | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|----------------------------------|--------------------|-------|---|---|---|
| | | | Code V | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address

1035 N. MCDOWELL BLVD. PETALUMA, CA 94954

Matthews Michael C/O CALIX, INC.

Signatures

/s/ Michael Ashby as Attorney-in-Fact for Michael Matthews

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Director

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- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock units ("RSUs"). The Reporting Person is entitled to receive one (1) share of Common Stock for each one (1) RSU upon (1) vesting. 100% of the RSUs shall vest one day prior to the next annual shareholder meeting.
- (2) Includes 12,709 restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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05/24/2013 Date

Relationships

10% Owner Officer Other