Edgar Filing: CIENA CORP - Form 4

CIENA COF	RP										
Form 4	2										
June 14, 201										PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB OMB Number:	3235-0287			
Check th if no long	a ar			0,					Expires:	January 31,	
subject to	b SIAI	EMENT O	F CHAN	GES IN BENEFICIAL OWNI SECURITIES				NERSHIP OF	Estimated a		
Section 16. S.				SECUR	ATTES				burden hours per response		
Form 5	Filed r	oursuant to	Section 1	6(a) of th	e Securit	ies E	xchang	e Act of 1934,	16500156	0.5	
obligation may cont				•	•	· ·		1935 or Section	ı		
See Instru		30(h)	of the In	vestment	Compan	iy Ac	t of 194	-0			
1(b).											
(Print or Type I	Responses)										
		_ *									
Claffin Dana I				2. Issuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
L. L			•	Symbol CIENA CORP [CIEN]							
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Ch				(Chec)	k all applicable)			
				Month/Day/Year)				_X_ Director10% Owner			
C/O CIENA WINTERSO	A CORPORAT	ION, 1201	06/12/2	/2013			Officer (give titleOther (specifybelow)below)				
WINTERS			4 70 4					<pre>/</pre>			
				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line)				
T neu(mo				vionul/Day/1cal)				_X_ Form filed by One Reporting Person			
LINTHICU	M, MD 21090							Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction I			3. T	4. Securi			5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Ye	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					Securities Beneficially	Form: Direct (D) or	Beneficial		
							Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
						(A)		Following Reported	(1150.4)	(111501. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price ¢	(mout 5 and 4)			
Common Stock	06/12/2013			S	400 (1)	D	\$ 20.22	50,514 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting O when I tune / I ture 55	Director	10% Owner	Officer	Other				
Claflin Bruce L. C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM, MD 21090	Х							
Signatures								
By: Erik Lichter For: Bruce L. Claflin	06/13/2013							
**Signature of Reporting Person		Date						
Explanation of Responses:								

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to 10b5-1 trading plan dated April 3, 2013.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.