

Foster Aaron E.  
Form 3  
February 20, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Foster Aaron E.

(Last) (First) (Middle)

C/O BELLICUM  
PHARMACEUTICALS,  
INC.,Â 2130 W. HOLCOMBE  
BLVD., STE. 800

(Street)

HOUSTON,Â TXÂ 77030

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/11/2019

3. Issuer Name and Ticker or Trading Symbol

BELICUM PHARMACEUTICALS, INC [BLCM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner

☒ Officer \_\_\_\_ Other

(give title below) (specify below)

Sr VP, Head of Research

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

3,737

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â <u>(1)</u>	10/27/2024	Common Stock	23,529	\$ 7.47	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/23/2025	Common Stock	8,000	\$ 23.47	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	01/03/2026	Common Stock	15,000	\$ 19	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	05/31/2026	Common Stock	30,000	\$ 12.39	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	01/02/2027	Common Stock	4,500	\$ 13.89	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	07/02/2027	Common Stock	10,500	\$ 11.7	D	Â
Stock Option (right to buy)	Â <u>(7)</u>	01/01/2028	Common Stock	18,000	\$ 9.23	D	Â
Stock Option (right to buy)	Â <u>(8)</u>	07/01/2028	Common Stock	42,000	\$ 7.72	D	Â
Stock Option (right to buy)	Â <u>(9)</u>	01/31/2029	Common Stock	50,000	\$ 3.35	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock	1,250	\$ <u>(11)</u>	D	Â
Restricted Stock Units	Â <u>(12)</u>	Â <u>(12)</u>	Common Stock	7,500	\$ <u>(11)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Foster Aaron E. C/O BELLICUM PHARMACEUTICALS, INC. 2130 W. HOLCOMBE BLVD., STE. 800 HOUSTON, TX 77030	Â	Â	Â Sr VP, Head of Research	Â

## Signatures

/s/ Rosemary Y. Williams,  
Attorney-in-Fact

02/20/2019

    Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the shares subject to the stock option vest and become exercisable on October 28, 2015, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (2) 25% of the shares subject to the stock option vest and become exercisable on February 24, 2016, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (3) 25% of the shares subject to the stock option vest and become exercisable on January 4, 2017, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (4) 25% of the shares subject to the stock option vest and become exercisable on June 1, 2017, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (5) 25% of the shares subject to the stock option vest and become exercisable on January 3, 2018, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (6) 25% of the shares subject to the stock option vest and become exercisable on July 3, 2018, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (7) 25% of the shares subject to the stock option vest and become exercisable on January 2, 2019, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (8) 25% of the shares subject to the stock option vest and become exercisable on July 2, 2019, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (9) 25% of the shares subject to the stock option vest and become exercisable on January 1, 2020, and the remaining shares subject to the stock option vest and become exercisable in 36 equal monthly installments thereafter.
  - (10) On January 3, 2017, the reporting person was granted restricted stock units (the "RSUs") for 2,500 shares. The RSUs vest in four annual installments beginning on January 3, 2018.
  - (11) Each RSU represents a contingent right to receive one share of the Issuer common stock.
  - (12) On January 2, 2018, the reporting person was granted the RSUs for 10,000 shares. The RSUs vest in four equal annual installments beginning on January 2, 2019.

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### Remarks:

EXHIBITÂ LIST:Â EX-24Â powerÂ ofÂ attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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