STONERIDGE INC Form 4/A April 09, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DeGaynor Jonathan B

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol STONERIDGE INC [SRI]

3. Date of Earliest Transaction

Director 10% Owner

(First) (Middle) (Last)

(Street)

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

03/30/2015

President and CEO

(Check all applicable)

6265 THURBER ROAD

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) 04/01/2015

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**BLOOMFIELD HILLS, MI 48301** 

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T)

Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date Underlying Securities** Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

#### Edgar Filing: STONERIDGE INC - Form 4/A

(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) or Derivative Disposed of (D) (Instr. 3, 4, and Security 5) Code V (A) **Expiration Title** (D) Date Amount of Exercisable Date Number of Shares Performance Common (1) (1) 192,85 (1) 03/30/2015 A 192,850 Shares (1) Shares

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DeGaynor Jonathan B 6265 THURBER ROAD BLOOMFIELD HILLS, MI 48301

President and CEO

# **Signatures**

/s/ Robert M. Loesch, by power of attorney

04/09/2015

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each Performance Share represents the contingent right to receive one Company Common Share. Provided that the Reporting Person remains employed until March 30, 2017 21,855 common shares shall be earned and provided that the Reporting Person remains employed until March 30, 2018 51,355 common shares shall be earned and depending on Company performance (and continued employment until March 30, 2018) 119,640 common shares shall be earned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2