## Edgar Filing: ROCHE ARTHUR D - Form 4

ROCHE ART Form 4										
October 18, 2	Л								PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation	<b>TENT OF</b> rsuant to S a) of the F	F CHAN	NGES IN SECUI	Estimated burden hou response	Estimated average burden hours per response 0.5					
may contin <i>See</i> Instruc 1(b).	iue.			•	U	ny Act of 1				
(Print or Type Ro	esponses)									
1. Name and Address of Reporting Person <u>*</u> ROCHE ARTHUR D			2. Issuer Name and Ticker or Trading Symbol VICON INDUSTRIES INC /NY/ [VII]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 89 ARKAY I		Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/15/2010				X_ Director Officer (giv below)		% Owner her (specify	
(Street) HAUPPAUGE, NY 11788			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>				
(City)	(State)	(Zip)	Tab	le I - Non-J	Derivative	Securities A	Person	of, or Beneficia	lly Owned	
	. Transaction Date Month/Day/Year)	2A. Deema Execution any (Month/Da	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4 Amount	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Reminder: Repo	rt on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
					inforn requii	nation cont red to resp iys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	()	Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION (RIGHT TO BUY)	\$ 4.06	10/15/2010		A		7,000		<u>(1)</u>	10/15/2020	COMMON STOCK	7,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ROCHE ARTHUR D 89 ARKAY DR HAUPPAUGE, NY 11788	Х							
Signatures								
/S/ ARTHUR D. ROCHE	10/18/20	10						
<u>**</u> Signature of Reporting	Date							

Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options become exercisable in two equal annual installments beginning on 10/15/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.