

PAUTLER PAUL F  
Form 4  
October 03, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PAUTLER PAUL F

(Last) (First) (Middle)  
  
ONE NORTH JEFFERSON AVENUE  
  
(Street)

ST. LOUIS, MO 63103

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
EDWARDS A G INC [AGE]

3. Date of Earliest Transaction (Month/Day/Year)  
10/01/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Subsidiary Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------|
|                                 |                                      |                                                    |                                | (A) or (D) Price                                                  |                                                                                               |                                                          |                                   |
| common stock                    | 10/01/2007                           |                                                    | D                              | 70,732 D                                                          | 0                                                                                             | D                                                        |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|----------------------------|
| Employee Stock Option (right to buy)       | \$ 43.6                                                | 10/01/2007                           |                                                    | D                              | 3,990                                                                                   | 03/01/2005 02/28/2010                                    | common stock                                                  | 3,990                      |
| Employee Stock Option (right to buy)       | \$ 25.75                                               | 10/01/2007                           |                                                    | D                              | 7,588                                                                                   | 03/01/2006 02/28/2013                                    | common stock                                                  | 7,588                      |
| Employee Stock Option (right to buy)       | \$ 44.24                                               | 10/01/2007                           |                                                    | D                              | 7,269                                                                                   | 03/01/2007 02/28/2014                                    | common stock                                                  | 7,269                      |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |                    |       |
|---------------------------------------------------------------------|---------------|-----------|--------------------|-------|
|                                                                     | Director      | 10% Owner | Officer            | Other |
| PAUTLER PAUL F<br>ONE NORTH JEFFERSON AVENUE<br>ST. LOUIS, MO 63103 |               |           | Subsidiary Officer |       |

## Signatures

Gabriel Vuagniaux  
by POA 10/03/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares disposed of in the merger between A.G. Edwards, Inc. and Wachovia Corporation pursuant to which each share of A.G. Edwards, Inc. common stock was exchanged for .9844 shares of Wachovia common stock, having a market value of \$50.92 per shares on the

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effective date of the merger, and \$35.80 in cash. Each A.G. Edwards Restricted Share was converted into 1.6407 restricted shares of Wachovia Common Stock.

- (2) In the merger of A.G. Edwards, Inc. and Wachovia Corporation, each outstanding option to purchase A.G. Edwards, Inc. common stock was converted to an option to purchase Wachovia stock on substantially the same terms, except that the number of options was adjusted by multiplying the number of A.G. Edwards, Inc. options by 1.6407, the exercise price was adjusted by dividing the A.G. Edwards, Inc. exercise price by 1.6407.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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