Edgar Filing: Gustke James A. - Form 4

Gustke Jame Form 4											
September 1	ЛЛ	о статес	SECUE		ND EV	CUA	NCEC	OMMISSION		PPROVAL	
	UNITE	DSIAILS		shington,			NGE U	0111115510IN	OMB Number:	3235-0287	
Check th if no lon subject to Section Form 4 c	F CHAN	GES IN SECUR		ICIA	NERSHIP OF	Expires: Estimated a burden hou response					
Form 5 obligatio may con <i>See</i> Instr 1(b).	tinue. Section 1	7(a) of the	Public U		ling Con	npany	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type)	Responses)										
1. Name and Address of Reporting Person <u>*</u> Gustke James A.			2. Issuer Name and Ticker or Trading Symbol OOMA INC [OOMA]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Chec					k all applicable	;)		
C/O OOMA AVE., SUIT	А, INC., 525 AL ГЕ 200	MANOR	(Month/E 09/15/2	•				Director X_Officer (give below) Vice Pres		Owner er (specify eting	
	(Street)			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SUNNYVA	ALE, CA 94085							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
(Instr. 3) any		r) Executio any	med on Date, if Day/Year)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
~				Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	09/15/2018			F	3,626 (1)	D	\$ 16.6	143,332	D		
Common Stock	09/15/2018			А	443 <u>(2)</u>	А	\$ 10.03	143,775	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting o wher Func / Fruitess	Director	10% Owner	Officer	Other				
Gustke James A. C/O OOMA, INC. 525 ALMANOR AVE., SUITE 200 SUNNYVALE, CA 94085			Vice President of Marketing					

Signatures

/s/ James A. 09/18/2018 Gustke

<u>**</u>Signature of Reporting Person Date

t on

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares delivered by Reporting Person to Issuer in payment of the withholding tax liability upon vesting of restricted stock units.

(2) Represents shares acquired under the Issuer's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.