#### STREMMING TROY A

Form 4

August 24, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b). (Print or Type Responses)

1. Name and Address of Reporting Person \* STREMMING TROY A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle) Pinnacle Entertainment, Inc. [PNK] 3. Date of Earliest Transaction

(Check all applicable)

C/O PINNACLE ENTERTAINMENT, INC., 3980

(Street)

(Month/Day/Year) 08/24/2018

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

EVP, Gov't Relations

**HOWARD HUGHES PARKWAY** 

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LAS VEGAS, NV 89169

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired f Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/24/2018		M	30,000	A	\$ 6.5	174,285	D		
Common Stock	08/24/2018		F	16,912	D	\$ 34.52	157,373	D		
Common Stock	08/24/2018		M	19,490	A	\$ 6.97	176,863	D		
Common Stock	08/24/2018		F	11,131	D	\$ 34.52	165,732	D		
Common Stock	08/24/2018		M	17,000	A	\$ 11.51	182,732	D		

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Common Stock	08/24/2018	F	10,910	D	\$ 34.52	171,822	D
Common Stock	08/24/2018	M	12,667	A	\$ 10.55	184,489	D
Common Stock	08/24/2018	F	7,941	D	\$ 34.52	176,548	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ctionDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Right to Buy (Stock Options)	\$ 6.5	08/24/2018		M	30	0,000	<u>(1)</u>	08/13/2020	Common Stock	30,000
Right to Buy (Stock Options)	\$ 6.97	08/24/2018		M	19	9,490	(2)	05/20/2021	Common Stock	19,490
Right to Buy (Stock Options)	\$ 11.51	08/24/2018		M	17	7,000	<u>(3)</u>	04/28/2023	Common Stock	17,000
Right to Buy (Stock Options)	\$ 10.55	08/24/2018		M	12	2,667	<u>(4)</u>	10/05/2022	Common Stock	12,667

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

STREMMING TROY A C/O PINNACLE ENTERTAINMENT, INC. 3980 HOWARD HUGHES PARKWAY LAS VEGAS, NV 89169

EVP, Gov't Relations

## **Signatures**

/s/ Elliot D. Hoops, Attorney-In-Fact for Troy A. Stremming

08/24/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock options vested in four annual installments on August 13, 2014, 2015, 2016 and 2017.
- (2) The stock options vested in four annual installments on May 20, 2015, 2016, 2017 and 2018.
- (3) The stock options vest in four annual installments on April 28, 2017, 2018, 2019 and 2020.
- (4) The stock options vest in four annual installments on October 5, 2016, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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