#### PLUNKETT J MICHAEL

Form 4

August 02, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB ....

**OMB APPROVAL** 

Number: 3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * PLUNKETT J MICHAEL			2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			Dave & [PLAY		Entertainment, Inc.	(	(Check a	all applicable	)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			DirectorX Officer below)		10% tle Othe below)	
2481 MANANA DRIVE			08/01/2018			SVP of Purch & Intl Ops			
	(Street)		4. If Am	endment, Da	nte Original	6. Individual	l or Join	t/Group Filin	g(Check
			Filed(Mo	onth/Day/Year	·)	Applicable Lin_X_ Form file		e Reporting Per	rson
DALLAS, T	X 75220					Form filed Person	d by Moi	re than One Re	porting
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative Securities Acq	uired, Dispos	sed of, o	or Beneficial	ly Owned
	2. Transaction Da			3.	4. Securities Acquired (A	) 5. Amoun		6.	7. Nature

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/01/2018		Code V M	Amount 2,000	(D)	Price \$ 4.44	3,671	D	
Common Stock	08/01/2018		S <u>(1)</u>	2,000	D	\$ 48.5272	1,671	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.44	08/01/2018		M	2,000	(3)	06/01/2020	Common Stock	2,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

PLUNKETT J MICHAEL 2481 MANANA DRIVE DALLAS, TX 75220

SVP of Purch & Intl Ops

# **Signatures**

Sherri M. Smith, Attorney-in-Fact 08/02/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 8, 2018.
- The price reported in Column 4 is a weighted average price. These shares were sold to multiple transactions at prices ranging from \$48.25 to \$48.7050, inclusive. The reporting person undertakes to provide to Dave & Buster's Entertainment, Inc., any security holder of Dave & Buster's Entertainment, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) to this Form 4.
- (3) All of the shares subject to the option have previously vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2