## Edgar Filing: GRADY EDWARD C - Form 4

GRADY ED	WARD C										
Form 4											
May 07, 201											
FORM	$ 4\rangle$	CT A TEC	SECUD	TTIES A	ND EV		NCEC	OMMESION	OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check thi							Expires:	January 31,			
if no longer subject to STATEMENT OF CHA				ANGES IN BENEFICIAL OWN				NERSHIP OF		2005	
Section 16. SECURITIES							Estimated average burden hours per				
	Form 4 or							response	0.5		
Form 5 obligation	• •						•	e Act of 1934,			
may cont	inue. Section 170			•	•	· ·		1935 or Section	1		
See Instru	iction	30(n) (	of the In	vestment	Compan	y Ac	ι οι 194	0			
1(b).											
(Print or Type F	Responses)										
	ddress of Reporting	Person <sup>*</sup>	2. Issuer	Name and	Ticker or	Tradiı	ng	-	Reporting Person(s) to		
GRADY ED	Symbol ADVANCED ENERGY					Issuer					
						(Check all applicable)					
			INDUS	TRIES IN	C [AEIS	5]		(		,	
(Last)	(First) (	Middle)		Earliest Tr	ansaction			X Director		Owner	
			(Month/Day/Year)					Officer (give below)	below)	er (specify	
1625 SHARP POINT DRIVE 0.			05/03/2018								
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person					
FORT COL	LINS, CO 80525	5						Form filed by M			
	LING, CO 0052.	,						Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat						5. Amount of	6. Ownership			
Security	(Month/Day/Year)		n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)						Form: Direct		
(Instr. 3)		any (Month/D					5)	Beneficially Owned		Beneficial Ownership	
		(		(				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or		(Instr. 3 and 4)			
Common				Code V		(D)	Price ¢	(			
Common Stock	05/03/2018			А	6,000 (1)	А	\$ 61.48	34,800 <u>(2)</u>	D		
STOCK							01.40				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GRADY EDWARD C 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Х						
Signatures							
Thomas O. McGimpsey - Attorney-in-Fact	05/07/2018						
**Signature of Reporting Person		Γ	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units granted on May 3, 2018 will vest 100% on the first anniversary of the grant date. This is an annual non-employee director grant as outlined in the 2018 proxy.
- (2) As of this filing date, Mr. Grady holds 34,800 RSU shares in street name.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.