## Edgar Filing: Shulman Douglas H. - Form 4

| Shulman Dor<br>Form 4  | -   |  |   |  |              |   |             |  |  |  |  |
|--|---|--|---|--|--------------|---|-------------|--|--|--|--|
| February 21, <b>FORM</b>   | ГЛ  |  |   |  |              |   |             |  | omb af   | PROVAL   |  |
|  | UNITED  |  | SECURITIES AND EXCHANGE C<br>Washington, D.C. 20549 |  |              |   |             | OMB<br>Number:   | 3235-0287  |  |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | 6.<br>Filed purs<br>Section 17(a  | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>ection 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |  |              |   |             |  |  | January 31,<br>2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |
| (Print or Type F   | Responses)  |  |   |  |              |   |             |  |  |  |  |
| 1. Name and A<br>Shulman Do  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Bank of New York Mellon Corp<br>[BK] |  |   |  |              | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                               |             |  |  |  |  |
| (Last) 225 LIBER   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/16/2017                             |  |   |  |              | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Sr. Executive Vice President |             |  |  |  |  |
|  |   |  |   | ndment, Da<br>hth/Day/Year                       | -            | 1   |             | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |  |
| NEW YORI   | K, NY 10286   |  |   |  |              |   |             | Person   | iore man One Re  | porting  |  |
| (City)   | (State)   | (Zip)  | Tabl  | e I - Non-D                                      | erivative    | Secur   | ities Acq   | uired, Disposed of   | , or Beneficial  | ly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)   | Executio<br>any  |   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | (Instr. 3,   | (A)<br>or   | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |  |  |
| Common<br>Stock  | 02/16/2018  |  |   | F  | 1,754<br>(1) | D)  | \$<br>56.25 | 40,862   | D  |  |  |
| Common<br>Stock  | 02/19/2018  |  |   | F  | 3,864<br>(1) | D   | \$<br>56.25 | 36,998   | D  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | Date               | Amou<br>Unde<br>Secur | le and<br>unt of<br>rlying<br>tities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|--|---|---|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares             |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |            |                              |       |  |  |  |  |
|--|---------------|------------|------------------------------|-------|--|--|--|--|
| 1 8  | Director      | 10% Owner  | Officer                      | Other |  |  |  |  |
| Shulman Douglas H.<br>225 LIBERTY STREET<br>NEW YORK, NY 10286 |               |            | Sr. Executive Vice President |       |  |  |  |  |
| Signatures   |               |            |                              |       |  |  |  |  |
| /s/Craig T. Beazer,<br>Attorney-in-Fact                        |               | 02/21/2018 |                              |       |  |  |  |  |

Date

<u>\*\*</u>Signature of Reporting Person

**Explanation of Responses:** 

## If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld in payment of tax liability incident to vesting of previously disclosed Restricted Stock Unit award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.