#### PEABODY MARK

Form 4

February 06, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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0.5

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PEABODY MARK Issuer Symbol ASTRONICS CORP [ATRO] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ Other (specify 130 COMMERCE WAY 02/02/2018 below) VP Astronics Advanced Electron (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting EAST AURORA, NY 14052 Person

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
\$.01 PV Common Stock	02/02/2018		S <u>(1)</u>	2,000	D	\$ 43.48	25,368	D	
\$.01 PV Class B Stock							109,005	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option	\$ 3.27					12/09/2009	12/09/2018	\$.01 PV Com Stk	16,880
Option	\$ 3.27					12/09/2009	12/09/2018	\$.01 PV Cl B Stk	23,785
Option	\$ 3.27					12/03/2010	12/03/2019	\$.01 PV Com Stk	16,840
Option	\$ 3.27					12/03/2010	12/03/2019	\$.01 PV Cl B Stk	23,729
Option	\$ 8.82					12/02/2011	12/02/2020	\$.01 PV Com Stk	6,500
Option	\$ 8.82					12/02/2011	12/02/2020	\$.01 PV Cl B Stk	9,159
Option	\$ 15.63					12/01/2012	12/01/2021	\$.01 PV Com Stk	4,300
Option	\$ 15.63					12/01/2012	12/01/2021	\$.01 PV Cl B	5,117

8. Price of Derivative Security (Instr. 5)

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				Stk	
Option	\$ 10.58	11/29/2013	11/29/2022	\$.01 PV Com Stk	7,400
Option	\$ 10.58	11/29/2013	11/29/2022	\$.01 PV Cl B Stk	6,692
Option	\$ 32.72	12/11/2014	12/11/2023	\$.01 PV Com Stk	2,990
Option	\$ 32.72	12/11/2014	12/11/2023	\$.01 PV Cl B Stk	1,755
Option	\$ 35.46	12/11/2015	12/11/2024	\$.01 PV Com Stk	3,470
Option	\$ 35.46	12/11/2015	12/11/2024	\$.01 PV Cl B Stk	1,119
Option	\$ 31.88	12/03/2016	12/03/2025	\$.01 PV Com Stk	4,500
Option	\$ 31.88	12/03/2016	12/03/2025	\$.01 PV Cl B Stk	675
Option	\$ 36.52	12/14/2017	12/14/2026	\$.01 PV Com Stk	4,820
Option	\$ 40.95	12/12/2018	12/12/2027	\$.01 PV Com Stk	7,010

## **Reporting Owners**

Reporting	C	)wner	Name /	Address	
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Relationships

Reporting Owners

#### Edgar Filing: PEABODY MARK - Form 4

Director 10% Owner Officer Other

PEABODY MARK 130 COMMERCE WAY EAST AURORA, NY 14052

VP Astronics Advanced Electron

#### **Signatures**

/s/Julie Davis, as Power of Attorney for Mark Peabody

02/06/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4