### Edgar Filing: SOFTBANK GROUP CORP - Form 4

| SOFTBAN<br>Form 4<br>January 19,   | K GROUP CORI<br>2018  | þ     |   |  |  |        |                   |   |  |   |  |
|--|---|-------|---|--|--|--------|-------------------|---|--|---|--|
| FORM 4<br>UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549   |   |       |   |  |  |        |                   | OMB AP<br>OMB<br>Number:<br>Expires:  | 3235-0287<br>January 31,   |   |  |
| subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may con  | Statement of changes in bounder subject to subject to subject to Section 16.       Statement of Changes in BENEFICIAL OWNERSHIP OF Estimated average burden hours per response         Form 4 or Form 5 obligations may continue. See Instruction       Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1935 or Section 1940       Estimated average burden hours per response |       |   |  |  |        |                   |   |  |   |  |
| (Print or Type Responses)       1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading SofTBANK GROUP CORP       5. Relationship of Re |   |       |   |  |  |        | Reporting Perso   | on(s) to  |  |   |  |
| (Last) (First) (Middle)<br>1-9-1, HIGASHI-SHIMBASHI  |   |       | <ul><li>SPRINT Corp [S]</li><li>3. Date of Earliest Transaction<br/>(Month/Day/Year)</li><li>01/17/2018</li></ul> |  |  |        |                   | (Check all applicable)<br><u>Director</u> <u>X</u> 10% Owner<br><u>Officer (give title</u> <u>Check all applicable</u> )<br><u>Director</u> <u>UNE (Specify below)</u>                |  |   |  |
|  |   |       | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)   |  |  |        |                   | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li> Form filed by One Reporting Person</li> <li>_X_ Form filed by More than One Reporting Person</li> </ul> |  |   |  |
| (City)   | (State)   | (Zip) | Tal   | ble I - Non                                      | -Derivative Se   | curiti | es Acqu           | ired, Disposed of,  | or Beneficially  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)   |       | ate, if   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | 4. Securities 2<br>onor Disposed of<br>(Instr. 3, 4 an<br>Amount | of (D) | Price             | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 01/17/2018  |       |   | Р  | 1,011,959<br>(1)   | А      | \$<br>5.51<br>(2) | 3,390,794,559<br>( <u>3)</u>  | Ι  | See<br>Footnote   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>oriNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | Amou<br>Unde<br>Secur | le and<br>unt of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|---|---|--|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares            |   |  |

#### Edgar Filing: SOFTBANK GROUP CORP - Form 4

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| SOFTBANK GROUP CORP<br>1-9-1, HIGASHI-SHIMBASHI<br>MINATO-KU TOKYO, M0 105-7303 |               | Х         |         |       |  |  |  |
| Galaxy Investment Holdings, Inc.<br>ONE CIRCLE STAR WAY<br>SAN CARLOS, CA 94070 |               | Х         |         |       |  |  |  |
| Starburst I, Inc.<br>ONE CIRCLE STAR WAY<br>SAN CARLOS, CA 94070                |               | Х         |         |       |  |  |  |
| Signatures<br>/s/ Kentaro Matsui,<br>Attorney-in-Fact                           | 01/19/2       | 2018      |         |       |  |  |  |

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) These securities are owned indirectly by SoftBank through Galaxy Investment Holdings, Inc. ("Galaxy"), which is a wholly-owned subsidiary of SoftBank. As a result, SoftBank may be deemed to beneficially own such shares held directly by Galaxy.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.43
 (2) to \$5.62, exclusive of any fees, commissions or other expenses. The Reporting Persons undertake to provide Sprint, any stockholder of Sprint, or the Staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the ranges

- set forth in this footnote to this Form 4.
- (3) Such figure reflects 314,269,036 shares of Sprint Common Stock (the "Galaxy Shares") as of January 17, 2018 that are owned indirectly by SoftBank through Galaxy and 3,076,525,523 shares of Sprint Common Stock (the "Starburst I Shares") as of each such date that are owned indirectly by SoftBank through Starburst I, Inc. ("Starburst I"), which is a U.S. wholly owned subsidiary of SoftBank. As a result of such ownership, SoftBank may be deemed to beneficially own the Galaxy Shares and the Starburst I Shares. Starburst I expressly disclaims beneficial ownership with respect to the Galaxy Shares and Galaxy expressly disclaims beneficial ownership with respect to the

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#### Starburst I Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.