Raskopf Karen Form 4 August 03, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Raskopf Karen

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

DUNKIN' BRANDS GROUP, INC.

(Check all applicable)

[DNKN]

(Last) (First) (Middle)

C/O DUNKIN' BRANDS GROUP,

(State)

INC., 130 ROYALL STREET

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify below)

(Month/Day/Year)

08/02/2017

SVP, Chief Communication Offcr

(Street) 4. If Amendment, Date Original

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**CANTON, MA 02021** 

(City)

|            |                     | 1401               | ione 1 Mon Derivative Securities required, Disposed of, or Denericany Owned |                     |     |               |                  |              |              |  |
|------------|---------------------|--------------------|---|---------------------|-----|---------------|------------------|--------------|--------------|--|
| 1.Title of | 2. Transaction Date |                    | 3.  | 4. Securit          |     | •             | 5. Amount of     | 6.           | 7. Nature of |  |
| Security   | (Month/Day/Year)    | Execution Date, if | Transaction(A) or Disposed of (D)   |                     |     | Securities    | Ownership        | Indirect     |              |  |
| (Instr. 3) |                     | any                | Code  | (Instr. 3, 4 and 5) |     |               | Beneficially     | Form: Direct | Beneficial   |  |
|            |                     | (Month/Day/Year)   | (Instr. 8)  |                     |     |               | Owned            | (D) or       | Ownership    |  |
|            |                     |                    |   |                     |     |               | Following        | Indirect (I) | (Instr. 4)   |  |
|            |                     |                    |   |                     |     |               | Reported         | (Instr. 4)   |              |  |
|            |                     |                    |   |                     | (A) |               | Transaction(s)   |              |              |  |
|            |                     |                    |   |                     | or  |               | (Instr. 3 and 4) |              |              |  |
|            |                     |                    | Code V  | Amount              | (D) | Price         | (mstr. 5 tild 1) |              |              |  |
| Common     | 08/02/2017          |                    | M   | 33,000              | A   | \$            | 33,000           | D            |              |  |
| Stock      | 00/02/2017          |                    | 141   | 33,000              | 11  | 37.26         | 33,000           | D            |              |  |
| G          |                     |                    |   |                     |     | <b>4.50</b> 0 |                  |              |              |  |
| Common     | 08/02/2017          |                    | S   | 33,000              | D   | \$ 52.8       | 0                | D            |              |  |
| Stock      |                     |                    |   | •                   |     | (1)           |                  |              |              |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Raskopf Karen - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Option to<br>Purchase<br>Common<br>Stock            | \$ 37.26  | 08/02/2017                           |   | M                                      | 33,000  | (2)  | 02/12/2023         | Common<br>Stock   | 33,000                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Raskopf Karen

C/O DUNKIN' BRANDS GROUP, INC.

130 ROYALL STREET

CANTON, MA 02021

SVP, Chief Communication Offcr

## **Signatures**

/s/ Richard Emmett, as attorney-in-fact for Karen Raskopf

08/03/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.66 to \$53.03 inclusive. The reporting person undertakes to provide Dunkin' Brands Group, Inc., any security holder of Dunkin' Brands Group, Inc., or the staff of the Securities Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.
- (2) The option previously vested based upon time criteria (four equal annual installments that began on 02/12/2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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