#### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

#### **DUN & BRADSTREET CORP/NW**

Form 4

March 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

03/02/2017

03/02/2017

	PEIREZ JO	OSHUA L	, 1 <b>.</b>	Symbol DUN & BRADSTREET CORP/NW [DNB]			Issuer (Check all applicable)			
	(Last)	(First)	(Middle)			Transaction	DirectorX Officer (give		Owner r (specify	
	103 JFK PA	3 JFK PARKWAY			Day/Year) 2017		below) President & COO			
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check			
							Applicable Line) _X_ Form filed by One Reporting Person			
	SHORT HI	ILLS, NJ 07078					Form filed by M Person	ore than One Rej	porting	
	(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative Securities Acq	uired, Disposed of	or Beneficiall	y Owned	
	1.Title of	2. Transaction Date	e 2A. Deem	ned	3.	4. Securities Acquired (A)	) 5. Amount of	6.	7. Nature of	
	Security	(Month/Day/Year)	Execution	Date, if	Transaction	omr Disposed of (D)	Securities	Ownership	Indirect	
	(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
			(Month/D	ay/Year)	(Instr. 8)		Owned	Direct (D)	Ownership	
							Following	or Indirect	(Instr. 4)	
						(A)	Reported	(I)		
						(A)	Transaction(s)	(Instr 4)		

Code V Amount

M

F

1,403

575 (2) D

(1)

(D)

A

Price

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

17,578.6266

17,003.6266

(Instr. 4)

D

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number ion f Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Leveraged Restricted Units	<u>(1)</u>	03/02/2017		M	1,395 (1)	(1)	03/02/2018	Common Stock	1,403 (1)	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Othe	

PEIREZ JOSHUA L 103 JFK PARKWAY SHORT HILLS, NJ 07078

President & COO

D = l = 4! = == = l+!==

### **Signatures**

/s/ Kristin R. Kaldor for Joshua L. Peirez by POA previously filed

03/06/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting and payout of the second tranche (1/3) of the leveraged restricted stock units (4,184) granted on March 2, 2015 at 100.6% based on the issuer's stock price performance during the performance period from 1/1/2015 -12/31/2016 and includes 8 additional shares above the target number of shares originally reported.
- (2) The reporting person made an irrevocable election in November 2016 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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