Pinnacle Entertainment, Inc.

Form 4

January 11, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common

Common

Stock

Stock

C/O PINNACLE

(Print or Type Responses)

1. Name and Address of Reporting Person \* SHANKS VIRGINIA E

(First)

ENTERTAINMENT, INC., 3980

(State)

01/06/2017

01/06/2017

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Pinnacle Entertainment, Inc. [PNK]

3. Date of Earliest Transaction

(Month/Day/Year) 01/06/2017

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

5. Relationship of Reporting Person(s) to

below) EVP and Chief Admin. Officer

**HOWARD HUGHES PARKWAY** 

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

(Street)

LAS VEGAS, NV 89169

| (City)     | (State)             | Zip)       | Table I - Non- | -Derivative Securiti | es Acquired, Disposed o | of, or Beneficially Owned |
|------------|---------------------|------------|----------------|----------------------|-------------------------|---------------------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3.             | 4. Securities        | 5. Amount of            | 6. Ownership 7. Nature of |

Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned **Following** Reported

Transaction(s)

(Instr. 3 and 4)

104,090

Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Ownership (Instr. 4)

or Price V Amount (D) Code

5,396 G

D \$0 179,434

\$0

(A)

D

I

By Shanks

Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Edgar Filing: Pinnacle Entertainment, Inc. - Form 4

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title        | and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|-----------------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amoun           | t of         | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly         | ying         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit         | ies          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3       | 3 and 4)     |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |                 |              |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |                 |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |                 |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |                 |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |                 |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |                 |              |             |        |
|             |             |                     |                    |            |            |               |             |                 | A manuat     |             |        |
|             |             |                     |                    |            |            |               |             |                 | Amount       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |                 | Or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Num<br>of | Number       |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |                 |              |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |                 | Shares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

SHANKS VIRGINIA E C/O PINNACLE ENTERTAINMENT, INC. 3980 HOWARD HUGHES PARKWAY LAS VEGAS, NV 89169

EVP and Chief Admin. Officer

# **Signatures**

/s/ Elliot D. Hoops, Attorney-In-Fact for Virginia E. 01/11/2017 Shanks

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction involved a gift of shares by the reporting person to the Shanks Family Trust, a living trust, on January 6, 2017. These shares were previously reported as directly beneficially owned by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2