CELGENE CORP /DE/

Form 3 June 24, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

À PEHL MICHAEL F.

(Last)

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

06/15/2016

4. Relationship of Reporting

(Check all applicable)

(give title below) (specify below)

See remarks

CELGENE CORP / DE / [CELG]

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O CELGENE

CORPORATION, Â 86 MORRIS

AVENUE

(Street)

Director _X__ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SUMMIT. NJÂ 07901

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5) Â D

Common Stock

1,628

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise

6. Nature of Indirect Beneficial Ownership

Form of (Instr. 5) Price of Derivative

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)(2)	01/30/2022	Common Stock	938	\$ 36.36	D	Â
Stock Option (right to buy)	(1)(2)	04/30/2022	Common Stock	1,668	\$ 36.47	D	Â
Stock Option (right to buy)	(2)(3)	07/30/2022	Common Stock	1,668	\$ 34.7	D	Â
Stock Option (right to buy)	(2)(4)	10/31/2022	Common Stock	1,668	\$ 36.68	D	Â
Stock Option (right to buy)	$\hat{A} = \frac{(2)(5)}{2}$	12/17/2022	Common Stock	2,500	\$ 40.22	D	Â
Stock Option (right to buy)	(2)(6)	01/28/2023	Common Stock	3,336	\$ 49.48	D	Â
Stock Option (right to buy)	(2)(7)	04/29/2023	Common Stock	3,752	\$ 59.23	D	Â
Stock Option (right to buy)	(2)(8)	07/29/2023	Common Stock	3,752	\$ 71.33	D	Â
Stock Option (right to buy)	(2)(9)	10/28/2023	Common Stock	3,752	\$ 78.11	D	Â
Stock Option (right to buy)	(2)(10)	12/02/2023	Common Stock	3,752	\$ 81.56	D	Â
Stock Option (right to buy)	(2)(11)	02/03/2024	Common Stock	5,626	\$ 74.3	D	Â
Stock Option (right to buy)	$\hat{A} = \frac{(2)(12)}{2}$	07/28/2024	Common Stock	3,750	\$ 87.64	D	Â
Stock Option (right to buy)	$\hat{A} = \frac{(2)(13)}{2}$	10/27/2024	Common Stock	1,875	\$ 103.1	D	Â
Stock Option (right to buy)	(2)(14)	12/19/2024	Common Stock	5,000	\$ 117.18	D	Â
Stock Option (right to buy)	$\hat{A} = \frac{(2)(15)}{}$	02/02/2025	Common Stock	2,500	\$ 118.57	D	Â
Stock Option (right to buy)	(2)(16)	05/04/2025	Common Stock	3,125	\$ 109.9	D	Â
Stock Option (right to buy)	(2)(17)	07/27/2025	Common Stock	3,125	\$ 132.56	D	Â
Stock Option (right to buy)	$\hat{A} = \frac{(2)(18)}{}$	11/09/2025	Common Stock	3,125	\$ 114.08	D	Â
Stock Option (right to buy)	(2)(19)	02/01/2026	Common Stock	3,125	\$ 100.8	D	Â

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Stock Option (right to buy)	(2)(20)	05/02/2026	Common Stock	5,000	\$ 104.97	D	Â
Restricted Stock Unit	(21)	(21)	Common Stock	1,880	\$ (22) (23)	D	Â
Restricted Stock Unit	(24)	(24)	Common Stock	2,500	\$ (22) (23)	D	Â
Restricted Stock Unit	(25)	(25)	Common Stock	1,250	\$ (22) (23)	D	Â
Restricted Stock Unit	(26)	(26)	Common Stock	3,125	\$ (22) (23)	D	Â
Restricted Stock Unit	(27)	(27)	Common Stock	2,700	\$ (22) (23)	D	Â
Restricted Stock Unit	(28)	(28)	Common Stock	2,500	\$ (22) (23)	D	Â

Reporting Owners

Reporting Owner Name / Address		Kela			
	Director	10% Owner	Officer	Other	
PEHL MICHAEL F. C/O CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT, NJ 07901	Â	Â	See remarks	Â	
Signatures					
/s/ Michael F. Pehl F. Pehl			·	Michael	06/24/2016

Relationships

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) The option is immediately exercisable and is fully vested.
- (2) The option was issued pursuant to the Company's 2008 Stock Incentive Plan (as amended and restated as of April 15, 2015).
- (3) The option is immediately exercisable and will vest on July 30, 2016.
- (4) The option is immediately exercisable and will vest on October 31, 2016.
- (5) The option is immediately exercisable and will vest on December 17, 2016.
- (6) The option is immediately exercisable and will fully vest on January 28, 2017.
- (7) The option is immediately exercisable and will fully vest on April 29, 2017.
- (8) The option is immediately exercisable and will vest in two annual installments as follows: 1,876 shares on July 29, 2016; 1,876 shares on July 29, 2017.
- (9) The option is immediately exercisable and will vest in two annual installments as follows: 1,876 shares on October 28, 2016; 1,876 shares on October 28, 2017.

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- (10) The option is immediately exercisable and will vest in two annual installments as follows: 1,876 shares on December 2, 2016; 1,876 shares on December 2, 2017.
- (11) The option is immediately exercisable and will vest in two annual installments as follows: 1,876 shares on February 3, 2017; 1,876 shares on February 3, 2018.
- (12) The option is immediately exercisable and will vest in three annual installments as follows: 1,250 shares on July 28, 2016; 1,250 shares on July 28, 2017; 1,250 shares on July 28, 2018.
- (13) The option is immediately exercisable and will vest in three annual installments as follows: 625 shares on October 27, 2016; 625 shares on October 27, 2017; 625 shares on October 27, 2017.
- (14) The option is immediately exercisable and will vest in four equal annual installments commencing on December 19, 2015.
- (15) The option is immediately exercisable and will vest in four equal annual installments commencing on February 2, 2016.
- (16) The option is immediately exercisable and will vest in four equal annual installments commencing on May 4, 2016.
- (17) The option is immediately exercisable and will vest in four equal annual installments commencing on July 27, 2016.
- (18) The option is immediately exercisable and will vest in four equal annual installments commencing on November 9, 2016.
- (19) The option is immediately exercisable and will vest in four equal annual installments commencing on February 1, 2017.
- (20) The option is immediately exercisable and will vest in four equal annual installments commencing on May 2, 2017.
- (21) The restricted stock units will vest on December 2, 2016. Vested shares will be delivered to the reporting person promptly after the vesting date.
- (22) The restricted stock unit was issued pursuant to the Company's 2008 Stock Incentive Plan (as amended and restated as of April 15, 2015).
- (23) Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.
- (24) The restricted stock units will vest on July 28, 2017. Vested shares will be delivered to the reporting person on the vesting date.
- (25) The restricted stock units will vest on December 19, 2017. Vested shares will be delivered to the reporting person on the vesting date.
- (26) The restricted stock units will vest on May 4, 2018. Vested shares will be delivered to the reporting person on the vesting date.
- (27) The restricted stock units will vest on December 16, 2018. Vested shares will be delivered to the reporting person on the vesting date.
- (28) The restricted stock units will vest on May 2, 2019. Vested shares will be delivered to the reporting person on the vesting date.

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Remarks:

President Hematology &Â Oncology

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