#### CELGENE CORP /DE/

Form 4

February 02, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Alles Mark J

> (Last) (First) (Middle)

C/O CELGENE **CORPORATION, 86 MORRIS AVENUE** 

(Street)

(Month/Day/Year)

01/29/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

Symbol

CELGENE CORP /DE/ [CELG] (Check all applicable) 3. Date of Earliest Transaction

> Director 10% Owner X\_ Officer (give title Other (specify below)

See Remarks

**OMB APPROVAL** 

3235-0287

January 31,

2005

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6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**SUMMIT, NJ 07901** 

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(IIIsti. +)	
Common Stock	01/29/2016		M	2,634	A	\$ 27.425	120,381	D	
Common Stock	01/29/2016		M	4,528	A		124,909	D	
Common Stock	01/29/2016		M	2,438	A	\$ 24.805	127,347	D	
Common Stock	01/29/2016		M	1,266	A	\$ 31.21	128,613	D	
Common Stock	01/29/2016		M	2,500	A	\$ 25.18	131,113	D	

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Common Stock	01/29/2016	M	1,926	A	\$ 19.235	133,039	D	
Common Stock	01/29/2016	M	2,292	A	\$ 28.495	135,331	D	
Common Stock	01/29/2016	M	1,128	A	\$ 30.74	136,459	D	
Common Stock	01/29/2016	M	1,720	A	\$ 25.765	138,179	D	
Common Stock	01/29/2016	M	1,506	A	\$ 36.96	139,685	D	
Common Stock						5,639	I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 27.425	01/29/2016		M		2,634	<u>(1)</u>	01/09/2017	Common Stock	2,634
Stock Option (right to buy)	\$ 29.02	01/29/2016		M		4,528	<u>(1)</u>	04/10/2017	Common Stock	4,528
Stock Option (right to buy)	\$ 24.805	01/29/2016		M		2,438	<u>(1)</u>	01/08/2018	Common Stock	2,438
	\$ 31.21	01/29/2016		M		1,266	<u>(1)</u>	04/08/2018		1,266

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Stock Option (right to buy)							Common Stock	
Stock Option (right to buy)	\$ 25.18	01/29/2016	М	2,500	<u>(1)</u>	01/13/2019	Common Stock	2,500
Stock Option (right to buy)	\$ 19.235	01/29/2016	М	1,926	<u>(1)</u>	04/01/2019	Common Stock	1,926
Stock Option (right to buy)	\$ 28.495	01/29/2016	М	2,292	<u>(1)</u>	01/12/2020	Common Stock	2,292
Stock Option (right to buy)	\$ 30.74	01/29/2016	М	1,128	<u>(1)</u>	04/13/2020	Common Stock	1,128
Stock Option (right to buy)	\$ 25.765	01/29/2016	М	1,720	<u>(1)</u>	01/31/2021	Common Stock	1,720
Stock Option (right to buy)	\$ 36.96	01/29/2016	М	1,506	<u>(1)</u>	03/01/2022	Common Stock	1,506
Stock Option (right to buy)	\$ 100.8	02/01/2016	A	8,625	(3)	02/01/2026	Common Stock	8,625

# **Reporting Owners**

Reporting Owner Name / Address				
1	Director	10% Owner	Officer	Other
Alles Mark J				
C/O CELGENE CORPORATION			See Remarks	
86 MORRIS AVENUE			See Kemarks	
SUMMIT, NJ 07901				

Reporting Owners 3

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## **Signatures**

/s/ Robert J. Hugin	- 02/02/2016
Robert J. Hugin, Attorney-in-Fact	- 02/02/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was fully exercisable.
- (2) The option was issued pursuant to the Company's 2008 Stock Incentive Plan (as Amended and Restated as of April 15, 2015).
- (3) Option is immediately exercisable and will vest in four equal annual installments commencing on February 1, 2017.

#### **Remarks:**

President and Chief Operating Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4