## Edgar Filing: SPLUNK INC - Form 4

| SPLUNK IN<br>Form 4<br>December 14<br>FORN   | 4, 2015<br><b>4</b> UNITED S            | STATES :  |          | ITIES A<br>hington,   |           |           | NGE C  | COMMISSION  | OMB AF<br>OMB<br>Number:                          | PPROVAL<br>3235-0287 |  |  |
|--|---|---|----------|---|-----------|-----------|--|---|---|----------------------|--|--|
| if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5  | 6.                                      | STATEMENT OF CHANGES IN BENEFICIAL OWNE<br>SECURITIES |          |   |           |           |  |   | Expires:<br>Estimated a<br>burden hou<br>response |                      |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |   |          |   |           |           |  | n   |   |                      |  |  |
| (Print or Type F   | Responses)                              |   |          |   |           |           |  |   |   |                      |  |  |
| CONTE DAVID F Symbol   |   |   |          | r Name and Ticker or Trading  |           |           |  | 5. Relationship of Reporting Person(s) to<br>Issuer   |   |                      |  |  |
|  |   |   |          | e of Earliest Transaction<br>n/Day/Year)<br>/2015   |           |           |  | (Check all applicable)<br>Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>SVP & Chief Financial Officer |   |                      |  |  |
|  |   |   |          |   |           |           |  |   |   |                      |  |  |
|  | CISCO, CA 9410                          |   |          |   |           |           |  | Person  |   | porting              |  |  |
| (City)   | (State)                                 | (Zip)   | Table    | e I - Non-D   | erivative | Secur     | ities Acq  | uired, Disposed of  | , or Beneficial                                   | ly Owned             |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemo<br>Execution<br>any<br>(Month/Da            | Date, if | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A) |           |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership 7. Nature of<br>Form: Direct Indirect<br>(D) or Beneficial<br>Indirect (I) Ownership<br>(Instr. 4) (Instr. 4)      |   |                      |  |  |
|  |   |   |          | Code V  | Amount    | or<br>(D) | Price  | (Instr. 3 and 4)  |   |                      |  |  |
| Common<br>Stock  | 12/11/2015                              |   |          | S <u>(1)</u>  | 782       | D         | \$<br>54.15<br>(2)   | 109,994   | D   |                      |  |  |
| Common<br>Stock  | 12/11/2015                              |   |          | S <u>(1)</u>  | 3,100     | D         | \$<br>55.09<br>(3)   | 106,894   | D   |                      |  |  |
| Common<br>Stock  | 12/11/2015                              |   |          | S <u>(1)</u>  | 200       | D         | \$<br>55.83<br>(4)   | 106,694   | D   |                      |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.                     | 6. Date Exerc | cisable and | 7. Tit  | le and     | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------------------|---------------|-------------|---------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber               | Expiration D  | ate         | Amou    | int of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                     | (Month/Day/   | Year)       | Under   | rlying     | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative             | e             |             | Secur   | ities      | (Instr. 5)  | Bene   |
|             | Derivative  |                     | · · ·              |            | Securities             |               |             | (Instr. | . 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired               |               |             | ,       | , í        |             | Follo  |
|             | 2           |                     |                    |            | (A) or                 |               |             |         |            |             | Repo   |
|             |             |                     |                    |            | Disposed               |               |             |         |            |             | Trans  |
|             |             |                     |                    |            | of (D)                 |               |             |         |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,             |               |             |         |            |             | (III)  |
|             |             |                     |                    |            | (insu: 5,<br>4, and 5) |               |             |         |            |             |        |
|             |             |                     |                    |            | 4, and <i>5</i> )      |               |             |         |            |             |        |
|             |             |                     |                    |            |                        |               |             |         | Amount     |             |        |
|             |             |                     |                    |            |                        | Date          | Expiration  |         | or         |             |        |
|             |             |                     |                    |            |                        | Exercisable   | Date        | Title   | Number     |             |        |
|             |             |                     |                    |            |                        | Excicisable   | Date        |         | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)                |               |             |         | Shares     |             |        |
|             |             |                     |                    |            |                        |               |             |         |            |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                               |       |  |  |  |
|---|---------------|-----------|-------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                       | Other |  |  |  |
| CONTE DAVID F<br>C/O SPLUNK INC.<br>250 BRANNAN STREET<br>SAN FRANCISCO, CA 94107 |               |           | SVP & Chief Financial Officer |       |  |  |  |
| Signatures  |               |           |                               |       |  |  |  |

/s/ Steve Dean, by power of attorney 12/14/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported shares were sold to satisfy the Reporting Person's tax liability in connection with the vesting of restricted stock units.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.4914 to \$54.40, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of (2) the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within

- the ranges set forth in footnotes (2) through (4) to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from (3) \$54.765 to \$55.675, inclusive.

(4)

### Edgar Filing: SPLUNK INC - Form 4

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.825 to \$55.84, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.