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ADVANCED ENERGY INDUSTRIES INC

Form 4

February 09, 2015

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Trupkiewicz William George

5. Relationship of Reporting Person(s) to Issuer

Symbol

ADVANCED ENERGY **INDUSTRIES INC [AEIS]**

(Check all applicable)

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

Director X_ Officer (give title

10% Owner Other (specify

1625 SHARP POINT DRIVE

02/05/2015

(Month/Day/Year)

below) Chief Accounting Officer

4. If Amendment, Date Original

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Person

below)

FORT COLLINS, CO 80525

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

Common

Stock

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

D

Ownership (Instr. 4)

Code V Amount

(D) Price

\$0

(A)

1,187 02/05/2015 A (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $2,938^{(2)}$

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	tion	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title and Lunderlying S (Instr. 3 and	Securities
				Code V	V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 26.32	02/05/2015		A		6,558 (<u>3)</u>	02/05/2016	02/05/2026	Common Stock	6,558
Employee Stock Units	\$ 0	02/05/2015		A V	V	0	<u>(4)</u>	<u>(4)</u>	Common Stock	2,374

Reporting Owners

FORT COLLINS, CO 80525

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Trupkiewicz William George					
1625 SHARP POINT DRIVE			Chief Accounting Officer		

Signatures

/s/ Thomas O. McGimpsey (Attorney-in-Fact) 02/09/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee Restricted Stock Units granted on 2/5/2015 under the Company's 2105 Long Term Incentive Plan (LTI Plan) will vest in three equal installments beginning on the first anniversary date of the grant.
- (2) Represents 2,938 shares granted as Restricted Stock Units (RSUs). As of the transaction date, the restricted stock units have vested as to 1,751 shares.
- (3) The employee stock options granted on 2/5/2015 under the Company's 2015 LTI Plan will vest in three equal installments beginning on the first anniversary date of the grant.
- These performance shares are related to the 2015 reporting year. If the metrics for the reporting year have not been met under the Company's 2015 LTI Plan as determined by the Compensation Committee, the unvested performance units for the 2015 year will expire immediately.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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