BECK RICHARD P

Form 4 April 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * BECK RICHARD P

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

ADVANCED ENERGY INDUSTRIES INC [AEIS]

3. Date of Earliest Transaction

4. If Amendment, Date Original

_X__ Director 10% Owner __ Other (specify Officer (give title

(Check all applicable)

1625 SHARP POINT DRIVE

(First)

(Street)

(Middle)

(Month/Day/Year)

04/02/2012

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

FORT COLLINS, CO 80525

(City)	(State)	(Zip) Tabl	e I - Non-E	Derivative	Secur	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/02/2012		D	200 (1)	` /	\$ 12.83	51,589	D	
Common Stock	04/02/2012		D	200 (1)	D	\$ 12.85	51,389	D	
Common Stock	04/02/2012		D	100 (1)	D	\$ 12.86	51,289	D	
Common Stock	04/02/2012		D	200 (1)	D	\$ 12.865	51,089	D	
Common Stock	04/02/2012		D	300 (1)	D	\$ 12.87	50,789	D	

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04/02/2012	D	200 <u>(1)</u> D	\$ 12.885 50,589	D
04/02/2012	D	400 (1) D	\$ 12.89 50,189	D
04/02/2012	D	300 (1) D	\$ 12.9 49,889	D
04/02/2012	D	800 (1) D	\$ 12.91 49,089	D
04/02/2012	D	400 (1) D	\$ 12.92 48,689	D
04/02/2012	D	700 <u>(1)</u> D	\$ 12.93 47,989	D
04/02/2012	D	500 (1) D	\$ 12.94 47,489	D
04/02/2012	D	703 <u>(1)</u> D	\$ 12.95 46,786	D
04/02/2012	D	400 (1) D	\$ 12.96 46,386	D
04/02/2012	D	100 (1) D	\$ 12.97 46,286	D
04/02/2012	D	100 <u>(1)</u> D	\$ 13.01 46,186	D
04/02/2012	D	100 (1) D	\$ 13.02 46,086	D
04/02/2012	D	197 (1) D	\$ 13.09 45,889	D
04/02/2012	D	100 (1) D	\$ 13.13 45,789 <u>(2)</u>	D
	04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012 04/02/2012	04/02/2012 D 04/02/2012 D	04/02/2012 D 400 (1) D 04/02/2012 D 300 (1) D 04/02/2012 D 800 (1) D 04/02/2012 D 400 (1) D 04/02/2012 D 700 (1) D 04/02/2012 D 500 (1) D 04/02/2012 D 703 (1) D 04/02/2012 D 400 (1) D 04/02/2012 D 100 (1) D 04/02/2012 D 100 (1) D 04/02/2012 D 100 (1) D 04/02/2012 D 197 (1) D	04/02/2012 D 200

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	re	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo

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Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expir Exercisable Date

Expiration Title Amount

Amount or Number Trans

(Insti

of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
BECK RICHARD P 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	X						

Signatures

Thomas O. McGimpsey - Attorney-in-Fact

04/02/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold in accordance with 10b5-1 trading plan.
 - Mr. Beck has been granted a total of 44,000 RSUs in six grants: (A) 2,000 RSUs granted on May 24, 2006; (B) 16,000 RSUs granted on May 2, 2007; (C) 6,000 RSUs granted on May 7, 2008; (D) 6,000 RSUs granted on May 6, 2009; (E) 6,000 RSUs granted on May 4,
- (2) 2010. These grants vest annually in four equal installments beginning one year from grant date. (F) 8,000 RSUs granted on May 4, 2011 will vest 100% on the first anniversary of the grant date. As of this filing, 27,000 restricted stock units are vested and held as well as 3,008 shares held in street name.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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