### Edgar Filing: Rucker James N B - Form 4

Rucker Jame Form 4											
November 1	2, 2010										
FORM	RITIFS A	ND FX(	THA	NGE C	OMMISSION						
				shington,				011111551011	OMB Number:	3235-0287	
Check th if no long subject to	ger STAT	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								January 31, 2005	
Section 1 Form 4 c Form 5 obligatio may cont See Instr 1(b).	SECURITIES SECURITIES Estimated average burden hours per response Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940										
(Print or Type l	Responses)										
Rucker James N B Symbol			Symbol	uer Name <b>and</b> Ticker or Trading 1 KETAXESS HOLDINGS INC				5. Relationship of Reporting Person(s) to Issuer			
	[MKTX]					(Check all applicable)					
				e of Earliest Transaction h/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)			
	KETAXESS H PARK AVENU		11/10/2	010				/	emarks below.		
NEW YOR	(Street) K, NY 10171			ndment, Da nth/Day/Year	-			6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	one Reporting Per	rson	
(City)	(State)	(Zip)	Tabl	e I - Non-D	) erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$0.003 per share	11/10/2010			Code V	Amount 55,560	(D)	Price \$ 3.6	(Instr. 3 and 4) 208,125	D		
Common Stock, par value \$0.003 per share	11/10/2010			S	4,119	D	\$ 18.46 (1)	204,006	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of tiorDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S ()
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 3.6	11/10/2010		М		55,560	(2)	06/15/2011	Common Stock, par value \$0.003 per share	55,560	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rucker James N B C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171			See remarks below.				
Signatures							
/s/ Ori Solomon, as Attorney-in-Fact for Ja Rucker	mes N.B.		11/12/2010				
<u>**</u> Signature of Reporting Person			Date				

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These transactions were executed in multiple trades at prices ranging from \$18.42 to \$18.53. The price reported above reflects the (1) weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(2) The stock option is fully exercisable.

#### **Remarks:**

#### Chief Operations, Credit and Risk Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.