### Edgar Filing: Rohatyn Nicolas S - Form 4

Form 4	olas S										
August 16, 20											
FORM 4 UNITED STATES SECURITIES AND EX Washington, D.C. 2										9PROVAL 3235-0287	
Check this if no longe	<b>a</b> r								Expires:	January 31,	
subject to Section 16 Form 4 or	51A1E.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								2005 average irs per 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						on					
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Rohatyn Nicolas S			2. Issuer Name <b>and</b> Ticker or Trading Symbol MARKETAXESS HOLDINGS INC				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[MKTX]	]				(ene	ek un appliedek	-)	
	(First) ETAXESS HOI ROADWAY, 4		3. Date of (Month/Da 08/15/20	-	insaction			X Director Officer (give below)	e title 10% Oth below)	o Owner er (specify	
	Filed(Mon			ndment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
NEW YORK	K, NY 10005							Person	more than One Ke	porting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. De (Month/Day/Year) Executi any (Month			3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			)	SecuritiesIBeneficially0OwnedIFollowing0	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Stock, par value \$0.003 per share	08/15/2005			А	5,000	A	<u>(1)</u>	5,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 10.04	08/15/2005		М	5,000	(2)	08/15/2015	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Rohatyn Nicolas S C/O MARKETAXESS HOLDINGS INC. 140 BROADWAY, 42ND FLOOR NEW YORK, NY 10005	Х					
Signatures						
/s/ Ori Solomon as attorney-in-fact for Nice Rohatyn		08/16/2005				
<u>**</u> Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of restricted stock pursuant to the Company's 2004 Stock Incentive Plan.
- (2) The option was granted on August 15, 2005. The option vests and becomes exercisable in two equal installments on November 30, 2005 and May 31, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.