Edgar Filing: BROADWAY FINANCIAL CORP \DE\ - Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

BROADWAY FINANCIAL CORP \DE\

Form 5

February 07, 2005 **FORM 5**

•		Was	hington, D.	.C. 20549	9			Expires:	January 31, 2005	
to Section 16. Form 4 or Form 5 obligations may continue. See Instruction ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								burden hou	rs per	
Filed published Filed Filed Published Filed	(a) of the	Public Ut	ility Holding	g Compa	ny A	ct of	1935 or Section	n		
ROBERTS VIRGIL P Symbol BROAD							5. Relationship of Reporting Person(s) to Issuer			
				ANCIAL	COI	XI	(Check all applicable)			
(First) (Middle)	(Month/D				title 10% Owner Other (specify below)				
		12/01/2								
			-				6. Individual or Joint/Group Reporting			
							(chec	ck applicable line)	
LES, CA 900	010						Form Filed by			
(State)	(Zip)	Table	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned	
	Execution any	on Date, if	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end	6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
				Amount	(A) or (D)	Price	of Issuer's Fiscal Year (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Â	Â		Â	Â	Â	Â	5,209	D	Â	
			contained in	n this for	m are	not re	equired to resp	ond unless	SEC 2270 (9-02)	
	abject 16. Form ANN as ue. tion Filed pur Iddings Section 17(as Iddress of Reporting VIRGIL P (First) (OWAY FEDER OO WILSHIRE I (Street) LES, CA 90((State) 2. Transaction Dat (Month/Day/Year)	ANNUAL ST as use. tion Filed pursuant to dings Section 17(a) of the 30(h) as Iddress of Reporting Person * /IRGIL P (First) (Middle) OWAY FEDERAL OO WILSHIRE BLVD. (Street) LES, CA 90010 (State) (Zip) 2. Transaction Date 2A. Dee (Month/Day/Year) Execution any (Month/Mo	ANNUAL STATEME OWNER as Description Filed pursuant to Section 10 Idings Section 17(a) of the Public Ut 30(h) of the Inv Symbol BROAD NDE\[B'\] (First) (Middle) 3. Stateme (Month/D 12/31/20 DWAY FEDERAL OWNER 2. Issuer N Symbol BROAD NDE\[B'\] (First) (Middle) 4. If Amer Filed(Month) LES, CA 90010 (State) (Zip) Table 2. Transaction Date (Month/Day/Year) 2. Issuer N Symbol BROAD NOTE: And Annual Statement (Month/D Symbol BROAD Annual Statement (Month/D Symbol BR	ANNUAL STATEMENT OF CH OWNERSHIP OF S OWNERSHIP OF CH OWNERSHIP OF S OWNERSHIP OF CH OWNERSHIP OWNERSHIP OF CH OWNERSHIP OF CH OWNERSHIP OWNERS	ANNUAL STATEMENT OF CHANGES OWNERSHIP OF SECURI Size and the properties of the public utility Holding Company and Survey of the Investment Company and Survey	ANNUAL STATEMENT OF CHANGES IN I OWNERSHIP OF SECURITIES tue. Titled pursuant to Section 16(a) of the Securities Exclidings Section 17(a) of the Public Utility Holding Company A 30(h) of the Investment Company Act of a 30(h) of Investment Company Act of a 30(h) of Investment Company Act of Investment	ANNUAL STATEMENT OF CHANGES IN BENE OWNERSHIP OF SECURITIES as OWNERSHIP OF SECURITIES ANDURE Company Act of 1940 as OWNERSHIP OF SECURITIES ANDURE COMPANY FEDERAL A Securities Acquired (A) or Amount (D) Price A A A A A A A A A A A A A OWNERSHIP OF SECURITIES ANDURE COMPANY FEDERAL ANDURE COMPANY FEDERAL ANDURE COMPANY FEDERAL ANDURE COMPANY FEDERAL A Securities Acquired (A) or Amount (D) Price A OWNERSHIP OF SECURITIES ANDURE COMPANY FEDERAL A SECURITIES COMPANY A SECURITIES COMPANY A SECURITIES COMPANY A SECURITIES COMPANY A SECURITIES COMPAN	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Briled pursuant to Section 16(a) of the Securities Exchange Act of 1934, dings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Broadback of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol BROADWAY FINANCIAL CORP UE\[BYFC] (Check (Month/Day/Year) 12/31/2004 DWAY FEDERAL OWNILSHIRE BLVD. (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (check (Month/Day/Year) (check (Month/Day/Year)) (check (Month/Day/Year)) (check (Month/Day/Year)) (check (Month/Day/Year)) (check (Month/Day/Year)) (check (Month/Day/Year)) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 3) (A) (A) (Briscal Year Ended (Month/Day/Year)) (Instr. 3) (A) (Instr. 3, 4 and 5) (Instr. 3, 3 and 4) (Instr. 3, 3 and	Expires: Solution Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, dings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Solutions Solutions Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section 17(a) of the Public Utility Holding Company Act of 1940 Solutions Section	

OMB APPROVAL

3235-0362

OMB Number:

Edgar Filing: BROADWAY FINANCIAL CORP \DE\ - Form 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 8.19	Â	Â	Â	Â	Â	09/19/2004	09/19/2010	Common Stock	713
Stock Option	\$ 8.19	Â	Â	Â	Â	Â	09/19/2005	09/19/2010	Common Stock	357
Stock Option	\$ 8.19	Â	Â	Â	Â	Â	09/19/2006	09/19/2010	Common Stock	357
Stock Option	\$ 8.19	Â	Â	Â	Â	Â	09/19/2007	09/19/2010	Common Stock	357
Stock Grant	\$ 0	Â	Â	Â	Â	Â	09/19/2005	09/19/2010	Common Stock	161
Stock Grant	\$ 0	Â	Â	Â	Â	Â	09/19/2006	09/19/2010	Common Stock	161
Stock Grant	\$ 0	Â	Â	Â	Â	Â	09/19/2007	09/19/2010	Common Stock	161

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
ROBERTS VIRGIL P C/O BROADWAY FEDERAL BANK 4800 WILSHIRE BLVD. LOS ANGELES, CA 90010	ÂX	Â	Â	Â		

Signatures

Virgil P. Roberts	02/04/2005	
**Signature of Reporting Person	Date	

Reporting Owners 2

Edgar Filing: BROADWAY FINANCIAL CORP \DE\ - Form 5

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.