SPARK NETWORKS INC Form 8-K September 12, 2013

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): September 11, 2013

Spark Networks, Inc.

(Exact Name of Registrant as Specified in Its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

001-32750 (Commission File Number) 20-8901733 (IRS Employer Identification No.)

Edgar Filing: SPARK NETWORKS INC - Form 8-K

11150 Santa Monica Boulevard, Suite 600,

Los Angeles, California (Address of Principal Executive Offices) 90025 (Zip Code)

(310) 893-0550

(Registrant s Telephone Number, Including Area Code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events.

On September 11, 2013, Gregory R. Liberman, President and Chief Executive Officer, Brett A. Zane, Chief Financial Officer, Gregory J. Franchina, Chief Information Officer, and Joshua A. Kreinberg, General Counsel, each entered into individual pre-arranged stock trading plans designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended (the Exchange Act). Rule 10b5-1 permits individuals who are not aware of material, non-public information at the time the plan is adopted to establish pre-arranged plans to buy or sell company stock. Using these plans, individuals can gradually diversify their investment portfolios over an extended period of time and better manage the exercise of stock options and the sale of shares.

Each plan contemplates the sale of shares of common stock of Spark Networks, Inc. to be acquired upon the exercise of stock options, all of which expire in January 2016. The initial sales under these plans are not scheduled to occur until at least thirty days after the plans were adopted. In aggregate, up to a maximum of 587,500 shares are subject to sales under the plans. Each of the executive officers, as part of their individualized financial planning, entered into their plan to provide liquidity and investment diversification.

Transactions under the plans will be disclosed publicly through Form 144 and Form 4 filings with the Securities and Exchange Commission. Except as may be required by law, the Company does not undertake to report on specific Rule 10b5-1 pre-planned stock trading plans of directors and officers of the Company, nor to report modifications or terminations of the aforementioned plans or the plan of any other individual.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SPARK NETWORKS, INC.

Date: September 11, 2013

By: /s/ Joshua A. Kreinberg Name: Joshua A. Kreinberg

Title: General Counsel and Corporate Secretary