

MACROGENICS INC
Form 3
October 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Stein Kathryn E | | (Month/Day/Year) | MACROGENICS INC [MGNX] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 10/09/2013 | | |
| C/O MACROGENICS, INC.,Â 9640 MEDICAL CENTER DRIVE | | | (Check all applicable) | |
| | (Street) | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) (specify below) | |
| | | | Senior V P, Product Dev & Reg. | |
| | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |
| ROCKVILLE,Â MDÂ 20850 | | | | |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 43,205 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|---------------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Stock Option (right to buy) | 09/24/2005 ⁽²⁾ | 03/23/2016 | Common Stock | 52,192 ⁽¹⁾ | \$ 0.7 | D | Â |
| Stock Option (right to buy) | 06/15/2006 ⁽²⁾ | 12/14/2015 | Common Stock | 10,653 ⁽¹⁾ | \$ 0.7 | D | Â |
| Stock Option (right to buy) | 07/07/2007 ⁽²⁾ | 01/06/2017 | Common Stock | 25,301 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/06/2008 ⁽²⁾ | 01/05/2018 | Common Stock | 13,316 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/11/2009 ⁽²⁾ | 01/10/2019 | Common Stock | 8,895 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/11/2009 ⁽²⁾ | 01/10/2019 | Common Stock | 4,421 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/10/2010 ⁽²⁾ | 01/09/2020 | Common Stock | 6,658 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/09/2011 ⁽²⁾ | 01/08/2021 | Common Stock | 10,653 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 09/14/2012 ⁽²⁾ | 03/13/2022 | Common Stock | 10,653 ⁽¹⁾ | \$ 0.94 | D | Â |
| Stock Option (right to buy) | 07/06/2013 ⁽²⁾ | 01/05/2023 | Common Stock | 7,990 ⁽¹⁾ | \$ 1.5 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stein Kathryn E C/O MACROGENICS, INC. 9640 MEDICAL CENTER DRIVE ROCKVILLE, MD 20850 | Â | Â | Â Senior V P, Product Dev & Reg. | Â |

Signatures

/s/ Lynn Cilinski,
attorney-in-fact

10/09/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects a 1-for-18.7739 reverse split of our common stock effected on September 26, 2013.

(2)

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12.5% of the shares underlying the option are exercisable beginning on the six month anniversary of the vesting start date, and an additional 6.25% of the shares underlying the option become exercisable on the first day of each three month anniversary thereafter.

Â

Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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